Buncombe County Creative Sector Recovery Grant

RFP for Coronavirus State and Local Fiscal Recovery Funds

Asheville Area Arts Council

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Application Form

Question Group

Buncombe County requests proposals for projects to help the community recover from and respond to COVID-19 and its negative economic impacts.

Buncombe County has been awarded \$50,733,290 in Coronavirus State and Local Fiscal Recovery Funds (Recovery Funding)i, as part of the American Rescue Plan Act. This infusion of federal resources is intended to help turn the tide on the pandemic, address its economic fallout, and lay the foundation for a strong and equitable recovery.

Buncombe County is committed to investing these funds in projects that:

- Align to county strategic plan and community priorities
- Support equitable outcomes for most impacted populations
- Leverage and align with other governmental funding sources
- Make best use of this one-time infusion of resources
- Have a lasting impact

Proposals shall be submitted in accordance with the terms and conditions of this RFP and any addenda issued hereto.

Click here for the full terms and conditions of the RFP

Coronavirus State and Local Fiscal Recovery Funds*

Name of Project.

Buncombe County Creative Sector Recovery Grant

Amount of Funds Requested*

\$250,000.00

Recovery Fund Eligible Category*

Please select one:

Small business and non-profit support

Brief Project Description*

Provide a short summary of your proposed project.

Asheville Area Arts Council (AAAC) is applying for regranting funding to 1) aid nonprofit arts organizations in supporting existing jobs, new jobs, or to restore jobs that were furloughed or eliminated due to the pandemic; 2) provide support for eligible business expenses; and 3) provide project grants to artists that are independent contractors/ sole proprietor.

AAAC is also applying for ARP funding from the National Endowment for the Arts. If received, the County's investment will be matched dollar for dollar.

Project Plan*

Explain how the project will be structured and implemented, including timeframe.

The actual timeline for the grant cycle will be determined once all funding is received. AAAC should find out about potential matching funding from the National Endowment for the Arts by December 2021, so at the earliest, the grant cycle would begin in January 2022.

Eligibility and grant guidelines will follow the subgranting requirements defined by the National Endowment for Arts, included in the Considerations section. Grants to nonprofit will range from \$5,000-15,000 and grants to independent contractors/ sole proprietors will be between \$500-2,000.

Once the grant period opens, applicants would have one month to apply. During that time the arts council would hold at least two informational workshops to go over the grant process, and answer applicant questions. Recordings of these workshops would be made available on the arts council's website. Translation services will be made available upon request.

The grant will be marketed through the arts council's website, newsletter, paid social media promotions, press release, and through direct emails to potential applicants.

AAAC will select a community panel to individually review and score the applications, and then convene the group for a final review panel. Panel recommendations will be presented to the AAAC board for final approval. Board approval is part of required board oversight to ensure the process was done properly, and AAAC is following all funding requirements.

AAAC will distribute awards within one month of award notification, and applicants will be required to submit a final report to the arts council by December 31, 2022 detailing how the funds were used, and the impact the funding had on their business.

Statement of Need*

Describe the need that this project will address. Include data to demonstrate the need, and cite the source of the data.

Arts nonprofits and arts professionals working as sole proprietors/ independent contractors need additional recovery aid to reestablish jobs and rejuvenate revenue streams. This is directly linked to the impacts of COVID-19 as described below.

Arts, Entertainment, and Recreation (A,E,& R) was the top creative sector in Buncombe County (BC), growing 53% from 2015-19. However, by 2020, the A, E, & R industry had experienced the greatest percentage of employment loss in BC-- an overall loss of 34% representing a decline of over 1,300 jobs. At the same time, many creative businesses suffered over a year of closure due to necessary health restrictions (Buncombe County Creative Jobs Report, AAAC, 2021).

According to the Center for Civil Society Studies at John Hopkins University it will take jobs in the nonprofit arts sector approximately 2.5 years to recover-- that is double the amount of recovery time estimated for other nonprofit sectors.

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Additionally, independent contractors/ sole proprietors account for 66% of the jobs in creative occupations, which means they may not receive traditional benefits such as unemployment and health insurance. Though White non-Hispanic workers hold the majority of these jobs, when you look at the jobs held by Black and Latinx workers, they are mostly independent contractors/ sole proprietors, meaning that the impact of COVID-19 disproportionately impacted Black and Latinx workers.

AAAC hopes to use this funding to shorten the recovery period, and help restore jobs and businesses within the creative sector.

Link to COVID-19*

Identify a health or economic harm resulting from or exacerbated by the public health emergency, describe the nature and extent of that harm, and explain how the use of this funding would address such harm.

On March 10, 2020, Governor Cooper declared a state of emergency in North Carolina, and by March 14, 2020 the Governor had issued Executive Order 117 closing all mass gathering spaces, like an "auditorium, stadium, arena, large conference room, meeting hall, theater, or any other indoor or outdoor confined space. The includ[ed] parades, fairs and festivals." Though not specifically stated, museums were also subject to closure.

After September 1, Governor Cooper signed Executive Order 163 allowing museums to open at 50% capacity, but other entertainment venues remained closed. It was not until March 23 that Executive Order 204 made it possible for museums to open at 100% capacity and event spaces at 50% capacity--- after more than a year of closure.

By April 2021, outdoor event spaces were allowed to return to 100% capacity (Executive Order 209), but indoor event spaces were still limited until May 14, 2021-- over 14 months of business interruption.

Extended business closure impacted not only the venue owner/ operators, but all the organizations and arts professionals that use these spaces or are hired to perform at these spaces including many of our local actors and musicians. Over a year of mass gathering limits and venue closures also hurt festival and art fair operators and the artists/ arts vendors that depend on these events to sell their work. This resulted in cancellation of contractors and almost total loss of income for many arts professionals.

Population Served*

Define the population to be served by this project, including volume and demographic characteristics of those served.

In 2019, there were 14,153 creative industry jobs in Buncombe County in 2019, and 40% (5,661) of those jobs were in the Arts, Entertainment, & Recreation (A,E,&R) sector. This includes salaried workers with and without benefits, as well as self employed/independent contractors whose creative job is their primary or secondary source of income.

Overall, creative industry jobs were held by 83% White, 7% Black, and 4% Latinx workers in 2019. 48% of whose workers were female. Worker age ranges were 20%: 25-34, 23%: 35-44, 17%: 45-54, 20%: 55-64, 12%: 65+.

Arts, Entertainment, and Recreation businesses received a total of 410 payroll protection program (PPP) loans/grants. Even assuming each organization received two grants, that means there are over 200 organizations that qualified for support.

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In 2020, the Asheville Area Arts Council administered the NC CARES for the Arts grant with State CARES Act funding. AAAC regranted \$251,000 to 36 nonprofit, with grants ranging from \$500-18,000. 17% of this funding went to BIPOC led organizations. However, we received over \$4.2 million in eligible funding requests showing how much greater the need was than what we had to offer.

Results*

Describe the proposed impact of the project. List at least 3 performance measures that will be tracked and reported. If possible, include baselines and goals for each performance measure.

The first performance measure will be the number of grants awarded or businesses supported. Secondly, the number of businesses and percentage of funding going to BIPOC lead organizations. And third, the number of jobs supported.

AAAC intends to support at least 50 nonprofits and/or independent contractors/ sole proprietors with this funding. A minimum of 20% or \$100,000 (if the NEA match is received) of the funding will go to BIPOC led organizations. AAAC hopes to support at least 100 jobs with this funding.

Evaluation*

Describe the data collection, analysis, and quality assurance measures you will use to assure ongoing, effective tracking of contract requirements and outcomes.

AAAC will evaluate this grant program by the number of grants received, the number of grants awarded, the demographics of the arts businesses/ professionals both applying for and receiving grants, and a final report/ survey from awardees to outline how the funding was spent and the qualitative and quantitative impacts the grant had on the business.

Grant applications will be evaluated based on the following criteria: eligibility to apply, completeness of application, demonstrated negative financial impacts from COVID, current need for aid, proposed use of funding, and how this funding will aid their business recovery. Applications for BIPOC led nonprofits, independent contractors, and sole priorietor will receive priority. Panelists will individually score the applications based on each of these criteria. Scores will then be compiled and averaged as a starting point for the final selection process.

Equity Impact*

How will this effort help build toward a just, equitable, and sustainable COVID-19 recovery? How are the root causes and/or disproportionate impacts of inequities addressed?

Arts equity, and more specifically racial equity is a top priority for the Asheville Area Arts Council. We are currently undergoing an equity audit with The Adaway Group to ensure our grants and other services are equitable and accessible.

AAAC is required to regrant a minimum of state arts funding to BIPOC led organizations based on county population demographics, which is between 15-20%. The arts council works to ensure that our awards are closer to 20% or more.

Lack of diversity among creative sector leadership has been identified as a problem area. 82% of leadership jobs were held by White non-Hispanic workers and 58% of the jobs were held by male workers.

AAAC hopes to improve equity in the creative sector by more actively investing in BIPOC led organizations. For this reason, BIPOC led nonprofits, independent contractors, and sole proprietors will receive priority.

Project Partners*

Identify any subcontractors you intend to use for the proposed scope of work. For each subcontractor listed, indicate:

- 1.) What products and/or services are to be supplied by that subcontractor and;
- 2.) What percentage of the overall scope of work that subcontractor will perform.

Also, list non-funded key partners critical to project.

Asheville Area Arts Council will not be working with any subcontractors on this project. As with all of our grant programs, this grant will be managed by AAAC staff.

Capacity*

Describe the background, experience, and capabilities of your organization or department as it relates to capacity for delivering the proposed project and managing federal funds.

The Asheville Area Arts Council was founded in 1953, and is the second oldest arts council in NC. The arts council has been administering grant funding to artists and arts organizations in Buncombe County since 1979, including state arts funding allocated to the county.

The organization currently operates three regranting programs including the Arts Build Community, Grassroots Arts Program, Teaching Arts Performing in Asheville Area Schools. AAAC also assists in the Artist Support Grant administration for our six county region.

In 2020, the Asheville Area Arts Council administered the NC CARES for the Arts grant with state CARES Act funding. AAAC staff is experienced with regranting federal funding and AAAC's SAM/Duns/Cage numbers are current.

Budget*

Provide a detailed project budget including all proposed project revenues and expenditures, including explanations and methodology. For all revenue sources, list the funder and denote whether funds are confirmed or pending. For project expenses, denote all capital vs. operating costs, and reflect which specific expenses are proposed to be funded with one-time Buncombe County Recovery Funds.

Download a copy of the budget form HERE. Complete the form, and upload it using the button below.

Recovery-Funds-budget-AAAC.xlsx

Special Considerations*

Provide any other information that might assist the County in its selection.

Specific-Terms-LAAs-that-Subgrant-4.21.20.pdf National Endowment for the Arts Subgranting Guidelines

Subgrants to Organizations:

Subgranting support by local arts agencies to eligible subgrantee organizations is limited to any or all of the following costs:

- Salary support, full or partial, for one or more staff positions.
- Fees/stipends for artists and/or contractual personnel only in support of services they provide for specific activities in support of your organization's day to day operations.
 - Facilities costs such as mortgage principal, rent, and utilities.
- Costs associated with health and safety supplies for staff and/or visitors/audiences (e.g., personal protective equipment, cleaning supplies, hand sanitizer, etc.).
 - Marketing and promotion costs.

Rescue Plan funds may be used by an organization to support existing jobs, new jobs, or to restore jobs that were furloughed or eliminated due to the pandemic.

Subgrants to Individual Artists:

Since funding from the NEA can not be used to provide direct grants to individuals (just artist fees for specific programs and activities), this funding will come from the County portion of the grant funding. AAAC will follow the funding guidelines used by the NC Arts Council for the Artist Support Grant. Artists will also be required to demonstrate how they were negatively impacted by COVID-19 in order to be eligible for consideration. Types of fundable projects include:

- Creation of new work

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- Purchase of equipment and materials
- Professional development workshops
- Development or upgrading of promotional materials such as brochures, DVDs, CDs, and websites

Support to individuals may not include honorifics or fellowships, or other forms of funding for financial hardship, including but not limited to rent or food assistance or the general operating expenses of individual artists.

File Attachment Summary

Applicant File Uploads

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- Recovery-Funds-budget-AAAC.xlsx
- Specific-Terms-LAAs-that-Subgrant-4.21.20.pdf

Coronavirus State and Local Fiscal Recovery Funds Proposed Project Budget

Organization Name:	Asheville Area Arts Council
Project Name:	Buncombe County Creative Sector Recovery
Amount Requested:	\$250,000

Proposed Project Revenue Funder	Amount	Confirmed or Pending?	Notes
Proposed Buncombe COVID Recovery Funds	\$ 250,000.00	Pending	
National Endowment for the Arts	\$ 250,000.00	Pending	AAAC is applying for a matching grant, should be notifed by
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Total	\$ 500,000.00		

Proposed Project Expenses	Proposed	(Other Funds	Total	Capital or Operating	Notes
Regranting	\$ 222,500.00	\$	222,500.00	\$ 445,000.00	Operating	Dubgrants will likely be for operating expenses, but not
Marketing	\$ 2,500.00	\$	2,500.00	\$ 5,000.00		
Admistrative/ Personnel costs	\$ 25,000.00	\$	25,000.00	\$ 50,000.00	Operating	council requests to keep up to 10% of the recovery aid to support
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	Total		



Specific Terms & Conditions for Local Arts Agencies that Subgrant

These Specific Terms & Conditions (Specific T&Cs) apply to Local Arts Agencies (LAAs) eligible to make subawards or subgrants under a National Endowment for the Arts (or Arts Endowment) grant or cooperative agreement. As a companion to the *National Endowment for the Arts General Terms & Conditions for Grants and Cooperative Agreements to Organizations* (General Terms & Conditions, or GTCs), the Specific Terms & Conditions address requirements that apply to both the LAA in its role as a subgrantor of Federal or matching funds, and the subrecipient entities who receive such awards.

If you are using Federal funds – or funds that you allocate to meet the required cost share or match for the Arts Endowment award – for a subaward, you must inform subrecipients that they must comply with these mandates.

1. Subgranting Federal or Matching funds further defined by the Arts Endowment (P.L. 108-108, Section 309 (2), Nov. 10, 2003)

Per our legislation, only State Arts Agencies (SAAs), Regional Arts Organizations (RAOs), and Local Arts Agencies (LAAs) that are designated to operate on behalf of their local governments or are operating units of city or county government are eligible to subgrant Arts Endowment funds. The majority of awards for subgranting activity are made to SAAs and RAOs through the Arts Endowment Partnership program area. Awards may be made to designated LAAs through the Arts Endowment's other funding opportunities, such as Grants for Arts Projects: Local Arts Agencies category for subgranting, and subaward activity may also be supported through Cooperative Agreements awarded to these entities for the Arts Endowment's own initiatives.

An Arts Endowment subgrant is an award made by an Arts Endowment grantee or cooperator (sometimes called the "prime" or "direct" recipient) using Federal and/or cost share/matching funds.

- A subgrant exists when funds are re-granted to an eligible non-Federal entity for activities conducted independently of the direct award recipient for the benefit of the subrecipient's program objectives.
- A subgrant recipient is neither directly employed by nor affiliated with the direct award recipient.
- A subgrant relationship could exist even if you call the grant agreement a contract.

If you are approved to make subgrants as part of your award, you are considered a "pass-through" entity per the definition provided at 2 CFR Part 200.74, and you must also comply with the requirements for monitoring and management of all subgrantees/subrecipients who receive awards comprised of Federal and/or matching funds as described below.

NOTE: If subawards are made for *further* subawarding activity, the subrecipient becomes a passthrough entity as defined by 2 CFR Part 200 and must comply with all the provisions of items 3 and 4.

2. Additional Definitions (2 CFR 200.0-99 or the Arts Endowment)

In addition to the definitions provided in the GTCs, select items pertaining to subawards/subgrants are summarized below.

Term	Citation	Definition
Federal share	2 CFR 200.43	The portion of the award's costs, including administrative or programmatic subaward/subgrant costs, that are paid by Federal funds.
Pass-through entity	2 CFR 200.74	A non-Federal entity that provides a subaward/subgrant to a subrecipient to carry out part of a Federal program.
		NOTE : This includes all LAAs who subgrant Arts Endowment or cost share/matching funds.
Prime entity or prime recipient	FFATA OMB guidance (8/27/2010)	A non-Federal entity that receives a direct Federal award; may also serve as a pass-through entity if eligible.
		(These terms are not included in 2 CFR 200, but have been more commonly used with FFATA and FSRS.)
Recipient cost share or match	Arts Endowment Guidelines, P.L. 108-108, Nov. 10, 2003 Arts Endowment GTCs	Non-Federal funds that are used to support additional costs for the project. For Arts Endowment grants, this means matching the Arts Endowment award at a minimum of one-to-one. LAAs may use a variety of sources to meet the required match.
Subaward	2 CFR 200.92	An award provided by a pass-through entity to a subrecipient for the subrecipient to carry out a project or activity identified as part of a Federal award. A subaward may be provided through any form of legal agreement, including an agreement that the pass-through entity considers a contract.
Subrecipient	2 CFR 200.93	A non-Federal entity that receives a subaward from a pass- through entity to carry out a project or activity identified with the Federal program. A subrecipient may also be a recipient of other Federal awards directly from a Federal awarding agency.

3. Requirements for Pass-Through Entities (2 CFR 200.331)

Both the Arts Endowment's enabling legislation and the Uniform Administrative Requirements (2 CFR Part 200) include requirements for subawards/subgrants that are made under a Federal award.

You <u>must</u> abide by, or implement, all of the items below if you are making subawards with Arts Endowment or cost share/matching funds. Any subrecipients who make further subawards using Arts Endowment or cost/share matching funds must also comply with these requirements.

It is very important that certain items are included in your program guidelines (e.g. the announcement of a funding opportunity), so that potential applicants understand the requirements and are prepared to comply if they are selected for an award.

As a reminder, the Arts Endowment does not prescribe how Federal/cost sharing/matching funds must be allocated. For example, you may choose larger amounts to apply a small number of subawards or spread smaller amounts more widely. It is up to you to determine the method that allows for the fullest compliance with the requirements outlined below.

- **3.1** Review Criteria. In accordance with the Arts Endowment's enabling legislation, you must include "artistic excellence and artistic merit" in the review criteria used to make the subgrant awards (20 USC Sec. 951 et seq.).
- **3.2** Eligible Subrecipients.
 - **3.2.i** Organizations. Only 501(c)(3) nonprofit organizations, units of state or local government, institutions of higher education, or Federally-recognized Indian tribal governments are eligible to receive funds subgranted through an Arts Endowment award (20 USC Sec 954 (f)).
 - **3.2.ii** Individuals. Support to individual artists may be allowable if the award funds programs and activities and is <u>not</u> a one-time monetary recognition award. Awards to individuals should include presentations, training, research, and/or creation of an artwork, with tangible outcomes required by the subaward. This is considered a stipend to the artist for the work undertaken and completed.
 - Because neither the Federal funds nor the cost share/ matching funds can support a
 fellowship solely as an honorific, costs for this type of award program must not be
 included in the budget or financial reports for the Arts Endowment award
- 3.3 <u>Unique Entity Identifier</u>. You may not make a subaward with Federal or cost share/matching funds to an eligible organization (entity) without a unique entity identifier, currently a DUNS number. Thus, you must notify potential subrecipients that they cannot receive a subaward from you unless they provide a valid DUNS number.

NOTE: Individuals receiving subawards do not need to have a DUNS number (2 CFR 25 **Appendix A** I.B.)

- 3.4 Review of Risk. You must evaluate each subawardee's or subrecipient's risk of noncompliance with Federal statutes, regulations, and the terms and conditions of the subaward/subgrant and consider imposing specific subaward conditions if appropriate (2 CFR 200.331(b), (c), and (e)).
- 3.5 <u>Acceptance of Federally-recognized Indirect Cost Rates</u>. Unless you have noted otherwise in your funding opportunity notice (e.g., guidelines), you must accept an approved indirect cost rate negotiated between the subrecipient and the Federal Government (2 CFR 200.331(a)(4)). If

no such rate exists, you can negotiate your own rate with the subrecipient in compliance with this part, or accept a **de minimis indirect cost rate** of 10% of modified total direct costs (2 CFR 200.414(f)).

NOTE: The reasons for the disallowance of indirect costs must be based on a legislative requirement or because the funding opportunity is restricted to direct costs only (e.g. artist fees), not agency preference.

3.6 <u>Identification of Federal or Matching Funds</u>. You must identify if the subaward is comprised, in whole or in part, of Federal funds or funds that are used to meet the minimum required match for the Federal award. You must also identify the Federal Awarding Agency and provide other required information as outlined in **Appendix A**.

2 CFR 200.331 requires that this information is conveyed,

- At the time the subaward is made,
- When/if any of these data elements change, or
- In a subsequent subaward modification.

If this information is <u>not</u> available at the time the subaward is made, you <u>must</u> provide the best information available to describe the Federal award and subaward/subgrant and provide updates to the subrecipient as necessary upon the receipt or disbursement of Federal or matching funds (2 CFR 200.331 (a) (1).

3.7 Other Federal Requirements. You must inform the subrecipient of any other Federal requirements that "flow down" as outlined in Section 4 below.

The Arts Endowment <u>strongly</u> recommends including information about these requirements in the notice of funding opportunity (guidelines) so that potential applicants are aware of and prepared to comply with these requirements.

- 3.8 <u>Monitoring</u>. You must monitor the activities of your subgrantee(s) as necessary to ensure that subawards are used for authorized purposes, in compliance with Federal statutes, regulations, and the terms and conditions of the subaward; and that subaward performance goals are achieved (2 CFR 200.331(d h)).
- **3.9** <u>Subrecipient Reporting</u>. You may impose additional requirements on the subrecipient to meet your own responsibility to us, including any required financial and performance reports.
 - You must also keep subrecipients' report submissions on file for 3 years after the date you submit your Federal Financial Report to the Arts Endowment (2 CFR 200.331 (a)(3).
- **3.10** FFATA Reporting. As required by the Federal Funding Accountability and Transparency Act (FFATA), you must report any subawards that include \$30,000 or more in **Federal** funds through the Federal Subrecipient Reporting System at www.fsrs.gov (2 CFR 170) by the end of the month after the subaward is made.

- **3.11** Record Retention and Access. You must inform subrecipients that they must permit you and your auditors access to their records and financial statements as necessary for you to ensure compliance with the Federal award requirements (2 CFR 200.331 (a)(5) and .333).
- **3.12** <u>Closeout</u>. All subawards made with Federal or matching funds must be closed out (including submission and approval of all subrecipient final reports and disbursal of all Federal and matching funds) **prior to** closing out your Federal award.
 - If you extend a subgrant to allow a subrecipient more time to complete the activities for which the subaward was made, you may need to request the period of performance for your Federal award be extended accordingly.
 - However, subawards that encounter significant delays could (unless required to meet the
 cost/share match) be removed from the scope of the Arts Endowment award to avoid
 continued delays in closing out the Federal award (2 CFR 200.77 and 2 CFR 200.331(a)(6).

4. Flow-through of National Policy and Other Legal Requirements to Subrecipients

The Federal requirements associated with the Arts Endowment award also "flow down" to the subrecipients of Federal funds or funds that are used to meet the required cost share/match. Therefore, in addition to informing the subrecipient that they are receiving a Federal subaward, or one that is being used to match a Federal grant, you <u>must</u> provide them with information regarding the National Policy Requirements that are applicable to all Federal awards. These include requirements that prohibit discrimination, ensure accessibility of all facilities and programs funded with Federal monies, provide for the protection of environmental and historic resources, and more (See GTC Appendix A).

- **4.1** Required Information. You must identify a subaward that is made with Federal or matching funds as such to your subrecipient(s), and provide them with the information required under 2 CFR 200.331 (See **Appendix A** below).
 - **NOTE**: 2 CFR 200.331 requires that this information is conveyed at the time the subaward is made, when/if any of these data elements change, or in a subsequent subaward modification. If this information is not available at the time the subaward is made, you must provide the best information available to describe the Federal award and subaward and provide updates to the subrecipient as necessary upon the receipt or disbursement of Federal or matching funds.
- 4.2 <u>Terms & Conditions</u>. You must provide subrecipients with Terms & Conditions for their award that outline all requirements for managing their award. These Terms & Conditions must include or reference all of the Federal requirements that "flow down" to the subaward/subgrant, instructions for submitting performance and financial reports required by your agency, and information relating to the closeout of the subaward/subgrant.

Appendix A: Required Data Elements for Subaward Notices under 2 CFR 200.331

Pass-through entities are required to provide subrecipients with the following information any time a subaward/subgrant is made with Federal funds or funds that are used to meet Federal grant's required match. You do not need to provide this information in any particular order or format; the important thing is that the subrecipient is aware of the Federal source of funding and informed of the applicable award requirements. You must also ensure that all subawards/subgrants to which Federal/cost share/matching funds are obligated are in compliance with all other Terms & Conditions for the Arts Endowment award.

- Although these are data elements required for making subawards/subgrants, you may also have other data elements and information that you provide to them based on your own policies and procedures.
- (1) In the case of subawards made only <u>partially</u> with Federal funds, your award notification should reflect the total amount awarded to the subrecipient, and then identify the Federal portion as required below.
- (2) In the case of subawards that are being made <u>only</u> with your cost share/matching funds, you would list the Federal amount as zero but include all other required data elements, so that the recipient understands this award cannot be used to match another Federal grant.
- ** **NOTE**: For items (vi), (vii) and (viii), if you have not determined the allocation of Federal/matching funds at the time the subaward is made, you must make provisions to convey this information to the subrecipient at the time that Federal or matching funds are obligated to their award.

Also, if these three data elements are all the same (e.g. you are obligating all Federal funds at one time), you can simply report (viii), "Total Amount of the Federal Award."

2 CFR 200.331	SAMPLE RESPONSE	NOTES
(i) Subrecipient name	Dance Council of Birmingham	Legal name of the subrecipient; must match the entity's name in their D&B record.
(ii) Subrecipient's unique entity identifier	DUNS # of Subrecipient	The subrecipient's DUNS number; must reflect legal name and current address.
(iii) Federal Award Identification Number (FAIN)	xxxxxxx-62-21	The Arts Endowment grant number as included on the LAA's Arts Endowment award document.
(iv) Federal Award Date	May 15, 2021	The date stamped on the LAA's Arts Endowment award document.
(v) Subaward Period of Performance	July 1, 2021 - May 31, 2022	The start and end dates for the sub-award grant period; must be within the period of performance for the LAA's Arts Endowment award.
(vi) Amount of Federal Funds Obligated by this action	(n/a)	**
(vii) Total Amount of Federal Funds Obligated to the subrecipient	(n/a)	**
(viii) Total Amount of the Federal Award	\$10,000	**Amount of Federal funds awarded from the Arts Endowment grant.

Updated 4/22/20

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2 CFR 200.331	SAMPLE RESPONSE	NOTES
(ix) Federal award project description	To support a subgranting program providing commissioning funds to small and mid-sized dance companies.	The project description for the LAA's Arts Endowment award as described on the Arts Endowment award document.
(x) Name of Federal awarding agency, pass-through entity, and contact information for awarding official	 National Endowment for the Arts Birmingham Arts Council John Doe, BAC Exec. Director 555-333-5555 email@BAC.gov 	The Arts Endowment's name, the LAA's name, and name and contact information for the person authorizing the subaward on the LAA's behalf.
(xi) CFDA Number and Name	45.024 Promotion of the Arts - Grants to Organizations and Individuals	The Arts Endowment's CFDA # and title as listed on the Arts Endowment award document.
(xii) Identification of whether the award is R&D		Notification if the project being supported is considered Research & Development as defined at 2 CFR 200.87; if not applicable per LAA program guidelines, can be omitted.
(xiii) Indirect cost rate <u>for the</u> <u>Federal award</u> (including if the de minimis rate is charged per 2 CFR 200.414)		The Indirect cost rate you approve for the subaward, if any. See 3.5 for more detail.