

# **Mountain Mobility Drug and Alcohol Testing Policy**

Adopted: September 21, 2021

**DRUG AND ALCOHOL TESTING POLICY  
MOUNTAIN MOBILITY**

**Adopted as of September 21, 2021**

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## A. PURPOSE

- 1) Buncombe County, through its Community Transportation System, Mountain Mobility, provides public transit and paratransit services for the residents of Buncombe County. Part of our mission is to ensure that this service is delivered safely, efficiently, and effectively by establishing a drug and *alcohol*-free work environment, and to ensure that the workplace remains free from the effects of drugs and *alcohol* in order to promote the health and safety of employees and the general public. In keeping with this mission, Buncombe County declares that the unlawful manufacture, distribution, dispense, possession, or use of controlled substances or misuse of *alcohol* is prohibited for all Mountain Mobility employees.
- 2) Additionally, the purpose of this policy is to establish guidelines to maintain a drug and *alcohol*-free workplace in compliance with the Drug-Free Workplace Act of 1988, and the Omnibus Transportation Employee Testing Act of 1991. This policy is intended to comply with all applicable Federal regulations governing workplace anti-drug and *alcohol* programs in the transit industry. Specifically, the Federal Transit Administration (FTA) of the U.S. Department of Transportation has published 49 CFR Part 655, as amended, that mandates urine drug testing and breath *alcohol* testing for safety-sensitive positions, and prohibits performance of *safety-sensitive functions* when there is a positive test result, or a refusal to test. The U. S. Department of Transportation (USDOT) has also published 49 CFR Part 40, as amended, that sets standards for the collection and testing of urine and breath specimens.
- 3) Any provisions set forth in this policy that are included under the sole authority of Mountain Mobility and are not provided under the authority of the above named Federal regulations are underlined. Tests conducted under the sole authority of Mountain Mobility will be performed on non-USDOT forms and will be separate from USDOT testing in all respects.

## B. APPLICABILITY

This Drug and Alcohol Testing Policy applies to all safety-sensitive employees (full- or part-time) when *performing* safety sensitive duties. See Attachment A for a list of employees and the authority under which they are included.

A *safety-sensitive function* is operation of public transit service including the operation of a *revenue service vehicle* (whether or not the *vehicle* is in revenue service), maintenance of a *revenue service vehicle* or equipment used in revenue service, security personnel who carry firearms, dispatchers or persons controlling the movement of *revenue service vehicles* and any transit employee who operates a non-*revenue service vehicle* that requires a Commercial Driver's License to operate. Maintenance functions include the repair, overhaul, and rebuild of engines, *vehicles* and/or equipment used in revenue service. A list of safety-sensitive positions who perform one or more of the above mentioned duties is provided in Attachment A. Supervisors are only safety sensitive if

they perform one of the above functions. Volunteers are considered safety sensitive and subject to testing if they are required to hold a CDL, or receive remuneration for service in excess of actual expense.

## **C. DEFINITIONS**

Attachment C includes definitions specific to the Mountain Mobility Drug and Alcohol Policy. All defined terms words are italicized throughout the Mountain Mobility Drug and Alcohol Policy.

## **D. EDUCATION AND TRAINING**

- 1) Every *covered employee* will receive a copy of this policy and will have ready access to the corresponding federal regulations including 49 CFR Parts 655 and 40, as amended. In addition, all *covered employees* will undergo a minimum of 60 minutes of training on the signs and symptoms of drug use including the effects and consequences of drug use on personal health, safety, and the work environment. The training also includes manifestations and behavioral cues that may indicate *prohibited drug* use.
- 2) All supervisory personnel or company officials who are in a position to determine employee fitness for duty will receive 60 minutes of reasonable suspicion training on the physical, behavioral, and performance indicators of probable drug use and 60 minutes of additional reasonable suspicion training on the physical, behavioral, speech, and performance indicators of probable *alcohol* misuse.

## **E. PROHIBITED SUBSTANCES**

- 1) Prohibited substances addressed by this policy include the following.
  - a. Illegally Used Controlled Substance or Drugs Under the Drug-Free Workplace Act of 1988 any drug or any substance identified in Schedule I through V of Section 202 of the Controlled Substance Act (21 U.S.C. 812), and as further defined by 21 CFR 1308.11 through 1308.15 is prohibited at all times in the workplace unless a legal prescription has been written for the substance. This includes, but is not limited to: marijuana, amphetamines, opioids, phencyclidine (PCP), and cocaine, as well as any drug not approved for medical use by the U.S. Drug Enforcement Administration or the U.S. Food and Drug Administration. Illegal use includes use of any illegal drug, misuse of legally prescribed drugs, and use of illegally obtained prescription drugs. It is important to note that the use of marijuana in any circumstances remains completely prohibited for any safety-sensitive employee subject to drug testing under USDOT regulations. The use of marijuana in any circumstance (including under state recreational and/or medical marijuana laws) by a safety-sensitive employee is a violation of this policy and a violation of the USDOT regulation 49 CFR Part 40, as amended.

Federal Transit Administration drug testing regulations (49 CFR Part 655) require that all employees covered under FTA authority be tested for marijuana, cocaine, amphetamines, opioids, and phencyclidine as described in this policy. Illegal use of these five drugs is prohibited at all times and thus, *covered employees* may be tested for these drugs anytime that they are on duty.

- b. Legal Drugs: The appropriate use of legally prescribed drugs and non-prescription medications is not prohibited. However, the use of any substance which carries a warning label that indicates that mental functioning, motor skills, or judgment may be adversely affected must be reported to a Mountain Mobility supervisor and the employee is required to provide a written release from his/her doctor or pharmacist indicating that the employee can perform his/her safety-sensitive functions.
- c. *Alcohol*: The use of beverages containing *alcohol* (including mouthwash, medication, food, candy) or any other substances containing *alcohol* in a manner which violates the conduct listed in this policy is prohibited.

## F. PROHIBITED CONDUCT

- 1) Illegal use of the drugs listed in this policy and as defined in 49 CFR Part 40, as amended is prohibited at all times. All *covered employees* are prohibited from reporting for duty or remaining on duty if they have used a *prohibited drug* as defined in 49 CFR Part 40, as amended.
- 2) Each *covered employee* is prohibited from consuming *alcohol* while *performing* safety-sensitive job functions or while on-call to perform safety-sensitive job functions. If an on-call employee has consumed *alcohol*, they must acknowledge the use of *alcohol* at the time that they are called to report for duty. The *covered employee* will subsequently be relieved of his/her on-call responsibilities and subject to discipline for not fulfilling his/her on-call responsibilities.
- 3) The Transit Department shall not permit any *covered employee* to perform or continue to perform *safety-sensitive functions* if it has actual knowledge that the employee is using *alcohol*
- 4) Each *covered employee* is prohibited from reporting to work or remaining on duty requiring the performance of *safety-sensitive functions* while having an *alcohol concentration* of 0.04 or greater regardless of when the *alcohol* was consumed.
  - a. An employee with a breath *alcohol concentration* which measures 0.02-0.039 is not considered to have violated the USDOT-FTA drug and *alcohol* regulations, provided the employee hasn't consumed the *alcohol* within four (4) hours of *performing* a safety-sensitive duty. However, if a safety-sensitive employee has a breath *alcohol concentration* of 0.02-0.039,

USDOT-FTA regulations require the employee to be removed from the performance of safety-sensitive duties until:

- i. The employee's *alcohol concentration* measures less than 0.02; or
  - ii. The start of the employee's next regularly scheduled duty period, but not less than eight hours following administration of the test.
- 5) No *covered employee* shall consume *alcohol* for eight (8) hours following involvement in an *accident* or until he/she submits to the *post-accident drug/alcohol* test, whichever occurs first.
  - 6) No *covered employee* shall consume *alcohol* within four (4) hours prior to the performance of safety-sensitive job functions.
  - 7) Mountain Mobility, under its own authority, also prohibits the consumption of *alcohol* at all times the employee is on duty, or anytime the employee is in uniform.
  - 8) Consistent with the Drug-free Workplace Act of 1988, all Mountain Mobility employees are prohibited from engaging in the unlawful manufacture, distribution, dispensing, possession, or use of prohibited substances in the work place including transit system premises and transit *vehicles*.

## **G. DRUG STATUTE CONVICTION**

Consistent with the Drug Free Workplace Act of 1998, all employees are required to notify Mountain Mobility management of any criminal drug statute conviction for a violation occurring in the workplace within five days after such conviction. Failure to comply with this provision shall result in disciplinary action as defined in Section Q of this policy.

## **H. TESTING REQUIREMENTS**

- 1) Analytical urine drug testing and breath testing for *alcohol* will be conducted as required by 49 CFR Part 40 as amended. All employees covered under FTA authority shall be subject to testing prior to *performing* safety-sensitive duty, for reasonable suspicion, following an *accident*, and random as defined in Section K, L, M, and N of this policy, and return to duty/follow-up.
- 2) A drug test can be performed any time a *covered employee* is on duty. A reasonable suspicion, random, or follow-up *alcohol* test can only be performed just before, during, or after the performance of a safety-sensitive job function. Under Mountain Mobility authority, a non-DOT *alcohol* test can be performed any time a *covered employee* is on duty.
- 3) All *covered employees* will be subject to urine drug testing and breath *alcohol* testing as a condition of ongoing employment with Mountain Mobility. Any safety-sensitive employee who refuses to comply with a request for testing shall be removed from duty and subject to discipline as defined in Section Q of this policy.

## I. DRUG TESTING PROCEDURES

- 1) Testing shall be conducted in a manner to assure a high degree of accuracy and reliability and using techniques, equipment, and *laboratory* facilities which have been approved by the U.S. Department of Health and Human Service (HHS). All testing will be conducted consistent with the procedures set forth in 49 CFR Part 40, as amended. The procedures will be performed in a private, confidential manner and every effort will be made to protect the employee, the integrity of the drug testing procedure, and the validity of the test result.
- 2) The drugs that will be tested for include marijuana, cocaine, opioids, amphetamines, and phencyclidine. After the identity of the donor is checked using picture identification, a urine specimen will be collected using the *split specimen collection* method described in 49 CFR Part 40, as amended. Each specimen will be accompanied by a *DOT* Custody and Control Form and identified using a unique identification number that attributes the specimen to the correct individual. The specimen analysis will be conducted at a HHS certified *laboratory*. An initial drug screen and validity test will be conducted on the primary urine specimen. For those specimens that are not negative, a confirmatory Gas Chromatography/Mass Spectrometry (GC/MS) or Liquid Chromatography/Mass Spectrometry (LC/MS) test will be performed. The test will be considered positive if the amounts of the drug(s) and/or its metabolites identified by the GC/MS or LC/MS test are above the minimum thresholds established in 49 CFR Part 40, as amended.
- 3) The test results from the HHS certified *laboratory* will be reported to a *Medical Review Officer*. A *Medical Review Officer (MRO)* is a licensed physician with detailed knowledge of substance abuse disorders and drug testing. The MRO will review the test results to ensure the scientific validity of the test and to determine whether there is a legitimate medical explanation for a confirmed positive, substitute, or adulterated test result. The MRO will attempt to contact the employee to notify the employee of the non-negative *laboratory* result, and provide the employee with an opportunity to explain the confirmed *laboratory* test result. The MRO will subsequently review the employee's medical history/medical records as appropriate to determine whether there is a legitimate medical explanation for a non-negative *laboratory* result. If no legitimate medical explanation is found, the test will be verified positive or refusal to test and reported to Mountain Mobility. If a legitimate explanation is found, the MRO will report the test result as negative.
- 4) If the test is invalid without a medical explanation, a retest will be conducted under direct observation. Employees do not have access to a test of their split specimen following an *invalid result*.
- 5) Any *covered employee* who questions the results of a required drug test may request that the split sample be tested. The split sample test must be conducted

at a second HHS-certified *laboratory*. The test must be conducted on the split sample that was provided by the employee at the same time as the primary sample. The method of collecting, storing, and testing the split sample will be consistent with the procedures set forth in 49 CFR Part 40, as amended. The employee's request for a split sample test must be made to the *Medical Review Officer* within 72 hours of notice of the original sample verified test result. Requests after 72 hours will only be accepted at the discretion of the MRO if the delay was due to documentable facts that were beyond the control of the employee. Mountain Mobility will ensure that the cost for the split specimen analysis is covered in order for a timely analysis of the sample, however Mountain Mobility will seek reimbursement for the split sample test from the employee.

- 6) If the analysis of the split specimen fails to confirm the presence of the drug(s) detected in the primary specimen, if the split specimen is not able to be analyzed, or if the results of the split specimen are not scientifically adequate, the MRO will declare the original test to be canceled.
- 7) The split specimen will be stored at the initial *laboratory* until the analysis of the primary specimen is completed. If the primary specimen is negative, the split will be discarded. If the primary specimen is positive, it will be retained in frozen storage for one year and the split specimen will also be retained for one year. If the primary is positive, the primary and the split will be retained for longer than one year for testing if so requested by the employee through the *Medical Review Officer*, or by the employer, by the MRO, or by the relevant *DOT agency*.
- 8) Observed Collections
  - a. Consistent with 49 CFR Part 40, as amended, collection under direct observation (by a person of the same gender) with no advance notice will occur if:
    - i. The *laboratory* reports to the MRO that a specimen is invalid, and the MRO reports to Mountain Mobility that there was not an adequate medical explanation for the result;
    - ii. The MRO reports to Mountain Mobility that the original positive, adulterated, or substituted test result had to be cancelled because the test of the split specimen could not be performed;
    - iii. The *laboratory* reported to the MRO that the specimen was negative-dilute with a creatinine concentration greater than or equal to 2 mg/dL but less than or equal to 5 mg/dL, and the MRO reported the specimen as negative-dilute and that a second collection must take place under direct observation (see §40.197(b)(1)).



- iv. The collector observes materials brought to the collection site or the employee's conduct clearly indicates an attempt to tamper with a specimen;
- v. The temperature on the original specimen was out of range;
- vi. Anytime the employee is directed to provide another specimen because the original specimen appeared to have been tampered with.
- vii. All follow-up-tests; or
- viii. All return-to-duty tests

## J. ALCOHOL TESTING PROCEDURES

- 1) Tests for breath *alcohol concentration* will be conducted utilizing a National Highway Traffic Safety Administration (NHTSA)-approved Evidential Breath Testing device (EBT) operated by a trained Breath Alcohol Technician (BAT). A list of approved EBTs can be found on ODAPC's Web page for "Approved Evidential Breath Measurement Devices". *Alcohol* screening tests may be performed using a non-evidential testing device (*alcohol* screening device (ASD)) which is also approved by NHTSA. A list of approved ASDs can be found on ODAPC's Web page for "Approved Screening Devices to Measure Alcohol in Bodily Fluids". If the initial test indicates an *alcohol concentration* of 0.02 or greater, a second test will be performed to confirm the results of the initial test. The confirmatory test must occur on an EBT. The confirmatory test will be conducted no sooner than fifteen minutes after the completion of the initial test. The confirmatory test will be performed using a NHTSA-approved EBT operated by a trained BAT. The EBT will identify each test by a unique sequential identification number. This number, time, and unit identifier will be provided on each EBT printout. The EBT printout, along with an approved *alcohol* testing form, will be used to document the test, the subsequent results, and to attribute the test to the correct employee. The test will be performed in a private, confidential manner as required by 49 CFR Part 40, as amended. The procedure will be followed as prescribed to protect the employee and to maintain the integrity of the *alcohol* testing procedures and validity of the test result.
- 2) A confirmed *alcohol concentration* of 0.04 or greater will be considered a positive *alcohol* test and in violation of this policy. The consequences of a positive *alcohol* test are described in Section Q. of this policy. Even though an employee who has a confirmed *alcohol concentration* of 0.02 to 0.039 is not considered positive, the employee shall still be removed from duty for at least eight hours or for the duration of the work day whichever is longer and will be subject to the consequences described in Section Q of this policy. An *alcohol concentration* of less than 0.02 will be considered a negative test.

- 3) Mountain Mobility affirms the need to protect individual dignity, privacy, and confidentiality throughout the testing process. If at any time the integrity of the testing procedures or the validity of the test results is compromised, the test will be canceled. Minor inconsistencies or procedural flaws that do not impact the test result will not result in a cancelled test.
- 4) The *alcohol* testing form (ATF) required by 49 CFR Part 40 as amended, shall be used for all FTA required testing. Failure of an employee to sign step 2 of the ATF will be considered a refusal to submit to testing.

## **K. PRE-EMPLOYMENT TESTING**

- 1) All applicants for covered transit positions shall undergo urine drug testing prior to performance of a *safety-sensitive function*.
  - a. All offers of employment for covered positions shall be extended conditional upon the applicant passing a drug test. An applicant will not be allowed to perform *safety-sensitive functions* unless the applicant takes a drug test with verified *negative results*.
  - b. An employee shall not be placed, transferred or promoted into a position covered under FTA authority or company authority until the employee takes a drug test with verified *negative results*.
  - c. If an applicant fails a pre-employment drug test, the conditional offer of employment shall be rescinded and the applicant will be provided with a list of at least two (2) USDOT qualified Substance Abuse Professionals. Failure of a pre-employment drug test will disqualify an applicant for employment for a period of at least one year. Before being considered for future employment the applicant must provide the employer proof of having successfully completed a referral, evaluation and treatment plan as described in section 655.62 of subpart G. The cost for the assessment and any subsequent treatment will be the sole responsibility of the applicant.
  - d. When an employee being placed, transferred, or promoted from a non-covered position to a position covered under FTA authority or company authority submits a drug test with a verified *positive result*, the employee shall be subject to disciplinary action in accordance with Section Q herein.
  - e. If a pre-employment test is canceled, Mountain Mobility will require the applicant to take and pass another pre-employment drug test.
  - f. In instances where a FTA covered employee does not perform a *safety-sensitive function* for a period of 90 consecutive days or more regardless of reason, and during that period is not in the random testing pool the employee will be required to take a pre-employment drug test under 49

CFR Part 655 and have negative test results prior to the conduct of safety-sensitive job functions.

- g. Following a *negative dilute* the employee will be required to undergo another test. Should this second test result in a *negative dilute* result, the test will be considered a negative and no additional testing will be required unless directed to do so by the MRO.
- h. Applicants are required (even if ultimately not hired) to provide Mountain Mobility with signed written releases requesting USDOT drug and *alcohol* records from all previous, USDOT-covered, employers that the applicant has worked for within the last two years. Failure to do so will result in the employment offer being rescinded. Mountain Mobility is required to ask all applicants (even if ultimately not hired) if they have tested positive or refused to test on a pre-employment test for a USDOT covered employer within the last two years. If the applicant has tested positive or refused to test on a pre-employment test for a USDOT covered employer, the applicant must provide Mountain Mobility proof of having successfully completed a referral, evaluation and treatment plan as described in section 655.62 of subpart G.

## L. REASONABLE SUSPICION TESTING

- 1) All Mountain Mobility FTA *covered employees* will be subject to a reasonable suspicion drug and/or *alcohol* test when the employer has reasonable suspicion to believe that the *covered employee* has used a *prohibited drug* and/or engaged in *alcohol* misuse. Reasonable suspicion shall mean that there is objective evidence, based upon specific, contemporaneous, articulable observations of the employee's appearance, behavior, speech or body odor that are consistent with possible drug use and/or *alcohol* misuse. Reasonable suspicion referrals must be made by one or more supervisors who are trained to detect the signs and symptoms of drug and *alcohol* use, and who reasonably concludes that an employee may be adversely affected or impaired in his/her work performance due to possible prohibited substance abuse or *alcohol* misuse. A reasonable suspicion *alcohol* test can only be conducted just before, during, or just after the performance of a safety-sensitive job function. However, under Mountain Mobility's authority, a non-DOT reasonable suspicion *alcohol* test may be performed any time the *covered employee* is on duty. A reasonable suspicion drug test can be performed any time the *covered employee* is on duty.
- 2) Mountain Mobility shall be responsible for transporting the employee to the testing site. Supervisors should avoid placing themselves and/or others into a situation which might endanger the physical safety of those present. The employee shall be placed on administrative leave pending disciplinary action

described in Section Q of this policy. An employee who refuses an instruction to submit to a drug/*alcohol* test shall not be permitted to finish his or her shift and shall immediately be placed on administrative leave pending disciplinary action as specified in Section Q of this policy.

- 3) A written record of the observations which led to a drug/*alcohol* test based on reasonable suspicion shall be prepared and signed by the supervisor making the observation. This written record shall be submitted to Mountain Mobility.
- 4) When there are no specific, contemporaneous, articulable objective facts that indicate current drug or *alcohol* use, but the employee (who is not already a participant in a treatment program) admits the abuse of *alcohol* or other substances to a supervisor in his/her chain of command, the employee shall be referred for assessment and treatment consistent with Section Q of this policy. Mountain Mobility shall place the employee on administrative leave in accordance with the provisions set forth under Section Q of this policy. Testing in this circumstance would be performed under the direct authority of Mountain Mobility. **Since the employee self-referred to management, testing under this circumstance would not be considered a violation of this policy or a positive test result under Federal authority.** However, self-referral does not exempt the *covered employee* from testing under Federal authority as specified in Sections L through N of this policy or the associated consequences as specified in Section Q.

## M. POST-ACCIDENT TESTING

- 1) FATAL ACCIDENTS – A *covered employee* will be required to undergo urine and breath testing if they are involved in an *accident* with a transit *vehicle*, whether or not the *vehicle* is in revenue service at the time of the *accident*, that results in a fatality. This includes all surviving *covered employees* that are operating the *vehicle* at the time of the *accident* and any other whose performance could have contributed to the *accident*, as determined by the employer using the best information available at the time of the decision.
- 2) NON-FATAL ACCIDENTS – A post-*accident* test of the employee operating the public transportation *vehicle* will be conducted if an *accident* occurs and at least one of the following conditions is met:
  - a. The *accident* results in injuries requiring immediate medical treatment away from the scene, unless the *covered employee* can be completely discounted as a contributing factor to the *accident*.
  - b. One or more *vehicles* incurs *disabling damage* as a result of the occurrence and must be transported away from the scene, unless the *covered employee* can be completely discounted as a contributing factor to the *accident*

In addition, any other *covered employee* whose performance could have contributed to the *accident*, as determined by the employer using the best information available at the time of the decision, will be tested.

As soon as practicable following an *accident*, as defined in this policy, the transit supervisor investigating the *accident* will notify the transit employee operating the transit *vehicle* and all other *covered employees* whose performance could have contributed to the *accident* of the need for the test. The supervisor will make the determination using the best information available at the time of the decision.

The appropriate transit supervisor shall ensure that an employee, required to be tested under this section, is tested as soon as practicable, but no longer than eight (8) hours of the *accident* for *alcohol*, and no longer than 32 hours for drugs. If an *alcohol* test is not performed within two hours of the *accident*, the Supervisor will document the reason(s) for the delay. If the *alcohol* test is not conducted within (8) eight hours, or the drug test within 32 hours, attempts to conduct the test must cease and the reasons for the failure to test documented.

Any *covered employee* involved in an *accident* must refrain from *alcohol* use for eight (8) hours following the *accident*, or until he/she undergoes a post-*accident alcohol* test.

An employee who is subject to post-*accident* testing who fails to remain readily available for such testing, including notifying a supervisor of his or her location if he or she leaves the scene of the *accident* prior to submission to such test, may be deemed to have refused to submit to testing.

Nothing in this section shall be construed to require the delay of necessary medical attention for the injured following an *accident*, or to prohibit an employee from leaving the scene of an *accident* for the period necessary to obtain assistance in responding to the *accident*, or to obtain necessary emergency medical care.

In the rare event that Mountain Mobility is unable to perform an FTA drug and *alcohol* test (i.e., employee is unconscious, employee is detained by law enforcement agency), Mountain Mobility may use drug and *alcohol* post-*accident* test results administered by local law enforcement officials in lieu of the FTA test. The local law enforcement officials must have independent authority for the test and the employer must obtain the results in conformance with local law.

## **N. RANDOM TESTING**

- 1) All *covered employees* will be subjected to random, unannounced testing. The selection of employees shall be made by a scientifically valid method of randomly generating an employee identifier from the appropriate pool of safety-sensitive employees. Employees who may be covered under company authority will be selected from a pool of non-DOT-covered employees.

- 2) The dates for administering unannounced testing of randomly selected employees shall be spread reasonably throughout the calendar year, day of the week and hours of the day.
- 3) The number of employees randomly selected for drug/*alcohol* testing during the calendar year shall be not less than the percentage rates set each year by the FTA administrator. The current year testing rates can be viewed online at <https://www.transportation.gov/odapc/random-testing-rates>.
- 4) Each *covered employee* shall be in a pool from which the random selection is made. Each *covered employee* in the pool shall have an equal chance of selection each time the selections are made. Employees will remain in the pool and subject to selection, whether or not the employee has been previously tested. There is no discretion on the part of management in the selection.
- 5) Covered transit employees that fall under the Federal Transit Administration regulations will be included in one random pool maintained separately from the testing pool of non-safety-sensitive employees that are included solely under Mountain Mobility authority.
- 6) Random tests can be conducted at any time during an employee's shift for drug testing. *Alcohol* random tests can only be performed just before, during, or just after the performance of a safety sensitive duty. However, under Mountain Mobility's authority, a non-DOT random *alcohol* test may be performed any time the *covered employee* is on duty. Testing can occur during the beginning, middle, or end of an employee's shift.
- 7) Employees are required to proceed immediately to the collection site upon notification of their random selection.

## **O. RETURN-TO-DUTY TESTING**

Mountain Mobility will terminate the employment of any employee that tests positive or refuses a test as specified in section Q of this policy. However, in the rare event an employee is reinstated with court order or other action beyond the control of the transit system, the employee must complete the return-to-duty process prior to the performance of *safety-sensitive functions*. All *covered employees* who previously tested positive on a drug or *alcohol* test or refused a test, must test negative for drugs, *alcohol* (below 0.02 for *alcohol*), or both and be evaluated and released by the *Substance Abuse Professional* before returning to work. Following the initial assessment, the SAP will recommend a course of rehabilitation unique to the individual. The SAP will recommend the return-to-duty test only when the employee has successfully completed the treatment requirement and is known to be drug and *alcohol*-free and there are no undue concerns for public safety. The SAP will determine whether the employee returning to duty will require a return-to-duty drug test, *alcohol* test, or both.

## P. FOLLOW-UP TESTING

*Covered employees* that have returned to duty following a positive or refused test will be required to undergo frequent, unannounced drug and/or *alcohol* testing following their return-to-duty test. The follow-up testing will be performed for a period of one to five years with a minimum of six tests to be performed the first year. The frequency and duration of the follow-up tests (beyond the minimums) will be determined by the SAP reflecting the SAP's assessment of the employee's unique situation and recovery progress. Follow-up testing should be frequent enough to deter and/or detect a relapse. Follow-up testing is separate and in addition to the random, post-*accident*, reasonable suspicion and return-to-duty testing.

In the instance of a self-referral or a management referral, the employee will be subject to non-USDOT follow-up tests and follow-up testing plans modeled using the process described in 49 CFR Part 40. However, all non-USDOT follow-up tests and all paperwork associated with an employee's return-to-work agreement that was not precipitated by a positive test result (or refusal to test) does not constitute a violation of the Federal regulations will be conducted under company authority and will be performed using non-DOT testing forms.

## Q. RESULT OF DRUG/ALCOHOL TEST

- 1) Any *covered employee* that has a verified positive drug or *alcohol* test, or *test refusal*, will be removed from his/her safety-sensitive position, informed of educational and rehabilitation programs available, and will be provided with a list of at least two (2) USDOT qualified *Substance Abuse Professionals (SAP)* for assessment, and will be terminated.
- 2) Following a *negative dilute* the employee will be required to undergo another test. Should this second test result in a *negative dilute* result, the test will be considered a negative and no additional testing will be required unless directed to do so by the MRO.
- 3) Refusal to submit to a drug/*alcohol* test shall be considered equivalent to a positive test result and a direct act of insubordination and shall result in termination and referral to a list of USDOT qualified SAPs. A *test refusal* is defined as any of the following circumstances:
  - a. Fail to appear for any test (except a pre-employment test) within a reasonable time, as determined by the employer.
  - b. Fail to remain at the testing site until the testing process is complete. An employee who leaves the testing site before the testing process commences for a pre-employment test has not refused to test.
  - c. Fail to attempt to provide a breath or urine specimen. An employee who does not provide a urine or breath specimen because he or she has left the

testing site before the testing process commenced for a pre-employment test has not refused to test.

- d. In the case of a directly-observed or monitored urine drug collection, fail to permit monitoring or observation of your provision of a specimen.
  - e. Fail to provide a sufficient quantity of urine or breath without a valid medical explanation.
  - f. Fail or decline to take a second test as directed by the collector or the employer for drug testing.
  - g. Fail to undergo a medical evaluation as required by the MRO or the employer's *Designated Employer Representative (DER)*.
  - h. Fail to cooperate with any part of the testing process.
  - i. Fail to follow an observer's instructions to raise and lower clothing and turn around during a directly-observed test.
  - j. Possess or wear a prosthetic or other device used to tamper with the collection process.
  - k. Admit to the adulteration or substitution of a specimen to the collector or MRO.
  - l. Refuse to sign the certification at Step 2 of the Alcohol Testing Form (ATF).
  - m. Fail to remain readily available following an *accident*.
  - n. As a *covered employee*, if the MRO reports that you have a verified adulterated or substituted test result, you have refused to take a drug test.
- 4) An *alcohol* test result of  $\geq 0.02$  to  $\leq 0.039$  BAC shall result in the removal of the employee from duty for eight hours or the remainder or the work day whichever is longer. The employee will not be allowed to return to safety-sensitive duty for his/her next shift until he/she submits to a non-DOT *alcohol* test with a result of less than 0.02 BAC.
- 5) In the instance of a self-referral or a management referral, disciplinary action against the employee shall include:
- a. Mandatory referral for an assessment by an employer approved counseling professional for assessment, formulation of a treatment plan, and execution of a return-to-work agreement;
  - b. Failure to execute, or remain compliant with the return-to-work agreement shall result in termination from Mountain Mobility employment.



- i. Compliance with the return-to-work agreement means that the employee has submitted to a drug/*alcohol* test immediately prior to returning to work; the result of that test is negative; the employee is cooperating with his/her recommended treatment program; and, the employee has agreed to periodic unannounced follow-up testing as described in Section P of this policy; however, all follow-up testing performed as part of a return-to-work agreement required under section Q of this policy is under the sole authority of Mountain Mobility and will be performed using non-DOT testing forms.
  - c. Refusal to submit to a periodic unannounced follow-up drug/*alcohol* test shall be considered a direct act of insubordination and shall result in termination. **All tests conducted as part of the return-to-work agreement will be conducted under company authority and will be performed using non-DOT testing forms.**
  - d. **A self-referral or management referral to the employer's counseling professional that was not precipitated by a positive test result does not constitute a violation of the Federal regulations and will not be considered as a positive test result in relation to the progressive discipline defined in Section Q of this policy.**
  - e. Periodic unannounced follow-up drug/*alcohol* testing conducted as a result of a self-referral or management referral which results in a verified positive shall be considered a positive test result in relation to the progressive discipline defined in Section Q of this policy.
  - f. A Voluntary Referral does not shield an employee from disciplinary action or guarantee employment with Mountain Mobility.
  - g. A Voluntary Referral does not shield an employee from the requirement to comply with drug and *alcohol* testing.
- 6) Failure of an employee to report within five days a criminal drug statute conviction for a violation occurring in the workplace shall result in termination.

## **R. GRIEVANCE AND APPEAL**

The consequences specified by 49 CFR Part 40.149 (c) for a positive test or *test refusal* is not subject to arbitration.

## **S. PROPER APPLICATION OF THE POLICY**

Mountain Mobility is dedicated to assuring fair and equitable application of this substance abuse policy. Therefore, supervisors/managers are required to use and apply all aspects of this policy in an unbiased and impartial manner. Any supervisor/manager who

knowingly disregards the requirements of this policy, or who is found to deliberately misuse the policy in regard to subordinates, shall be subject to disciplinary action, up to and including termination.

## **T. INFORMATION DISCLOSURE**

- 1) Drug/*alcohol* testing records shall be maintained by the Mountain Mobility Drug and Alcohol Program Manager and, except as provided below or by law, the results of any drug/*alcohol* test shall not be disclosed without express written consent of the tested employee.
- 2) The employee, upon written request, is entitled to obtain copies of any records pertaining to their use of *prohibited drugs* or misuse of *alcohol* including any drug or *alcohol* testing records. *Covered employees* have the right to gain access to any pertinent records such as equipment calibration records, and records of *laboratory* certifications. Employees may not have access to SAP follow-up testing plans.
- 3) Records of a verified positive drug/*alcohol* test result shall be released to the Drug and Alcohol Program Manager, and other transit system management personnel on a need-to-know basis.
- 4) Records will be released to a subsequent employer only upon receipt of a written request from the employee.
- 5) Records of an employee's drug/*alcohol* tests shall be released to the adjudicator in a grievance, lawsuit, or other proceeding initiated by or on behalf of the tested individual arising from the results of the drug/*alcohol* test. The records will be released to the decision maker in the proceeding.
- 6) Records will be released to the National Transportation Safety Board during an *accident* investigation.
- 7) Information will be released in a criminal or civil action resulting from an employee's performance of safety-sensitive duties, in which a court of competent jurisdiction determines that the drug or *alcohol* test information is relevant to the case and issues an order to the employer to release the information. The employer will release the information to the decision maker in the proceeding with a binding stipulation that it will only be released to parties of the proceeding.
- 8) Records will be released to the *DOT* or any *DOT agency* with regulatory authority over the employer or any of its employees.
- 9) Records will be released if requested by a Federal, state or local safety agency with regulatory authority over Mountain Mobility or the employee.

10) If a party seeks a court order to release a specimen or part of a specimen contrary to any provision of Part 40 as amended, necessary legal steps to contest the issuance of the order will be taken

11) In cases of a contractor or sub-recipient of a state department of transportation, records will be released when requested by such agencies that must certify compliance with the regulation to the FTA.

This Policy was adopted by the Buncombe County Board of Commissioners on August     , 2021.

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Chairman, Board of Commissioners

**Attachment A**

<b>Job Title</b>	<b>Job Duties and Safety- Sensitive Functions</b>	<b>Employer</b>
General Manager	If dispatcher or vehicle operator position/function is performed	RATP Dev DBA Buncombe County Transit Management, Inc.
Operations Manager	If dispatcher or vehicle operator position/function is performed	
Dispatcher	Control, dispatch, and/or movement of a revenue service vehicle	
Vehicle	Operation of a mass transit revenue service vehicle	
Safety/Training Manager	If dispatcher or driver position/function is performed	
Fleet Manager	If dispatcher or driver position/function is performed	
Maintenance Staff	Maintenance, if not contracted out	
Transit Program Manager	No safety-sensitive functions are performed	Land-of-Sky Regional Council
Mobility Specialist	No safety-sensitive functions are performed	
Senior Program Assistant	No safety-sensitive functions are performed	
Planning Director	No safety-sensitive functions are performed	Buncombe County
Community Development Division Manager	No safety-sensitive functions are performed	
Planner II	No safety-sensitive functions are performed	
County Garage Staff	No safety-sensitive functions are performed	

<sup>1</sup> This list may be changed as necessary to include all current employment positions and reflect the safety-sensitive status of all positions that may be affected by this policy statement.

## Attachment B

Any questions regarding this policy or any other aspect of the substance abuse policy should be directed to the following individual(s).

### Mountain Mobility Drug and Alcohol Program Manager

Name: Elaina Carter

Title: General Manager, Mountain Mobility

Address: 2000 Riverside Drive, Suite 17, Asheville, NC 28804

Telephone Number: 828.250.6750

### Medical Review Officer

Name: Charlton Owensby, M.C.

Title: Certified Medical Review Officer

Address: Caromont Occupational Medicine, 3700 Moorland Dr., Charlotte, NC 28226

Telephone Number: 704.834.2000

### Substance Abuse Professional #1

Name: Cynthia S. Buff, Ph.D.

Title: Substance Abuse Professional

Address: 107 Brevard Rd., Asheville, NC 28806

Telephone Number: 828.253.0778

### Substance Abuse Professional #2

Name: Andrea Morris

Title: Substance Abuse Professional

Address: 190 Broadway Street, Suite 204, Asheville, NC 28806

Telephone Number: 828.785.4285

### HHS Certified Laboratory Primary Specimen

Name: Alere Toxicology Services

Address: 450 Southlake Blvd., Richmond, VA, 23236

Telephone Number: 804.378.9130

## Attachment C

Attachment C defined terms in ***italics and bold*** appear in the Mountain Mobility Drug and Alcohol Policy or elsewhere within Attachment C. Attachment C defined terms in *italics only* do not appear in the Mountain Mobility Drug and Alcohol Policy and are provided for reference, clarification, and information.

**Accident:** An occurrence associated with the operation of a vehicle even when not in revenue service, if as a result:

- a. An individual dies;
- b. An individual suffers a bodily injury and immediately receives medical treatment away from the scene of the accident; or,
- c. One or more *vehicles* incur *disabling damage* as the result of the occurrence and is transported away from the scene by a tow truck or other *vehicle*. For purposes of this definition, *disabling damage* means damage which precludes departure of any *vehicle* from the scene of the occurrence in its usual manner in daylight after simple repairs. *Disabling damage* includes damage to *vehicles* that could have been operated but would have been further damaged if so operated, but does not include damage which can be remedied temporarily at the scene of the occurrence without special tools or parts, tire disablement without other damage even if no spare tire is available, or damage to headlights, taillights, turn signals, horn, or windshield wipers that makes them inoperative.

**Adulterated Specimen:** A specimen that has been altered, as evidence by test results showing either a substance that is not a normal constituent for that type of specimen or showing an abnormal concentration of an endogenous substance.

**Alcohol:** The intoxicating agent in beverage alcohol, ethyl alcohol, or other low molecular weight alcohols contained in any beverage, mixture, mouthwash, candy, food, preparation or medication.

**Alcohol Concentration:** Expressed in terms of grams of alcohol per 210 liters of breath as indicated by a breath test under 49 CFR Part 40.

**Aliquot:** A fractional part of a specimen used for testing, It is taken as a sample representing the whole specimen.

**Canceled Test:** A drug or *alcohol* test that has a problem identified that cannot be or has not been corrected, or which is cancelled. A canceled test is neither positive nor negative.

**Confirmatory Drug Test:** A second analytical procedure performed on a different aliquot of the original specimen to identify and quantify the presence of a specific drug or metabolite.

*Confirmatory Validity Test:* A second test performed on a different *aliquot* of the original urine specimen to further support a validity test result.

***Covered Employee (Under FTA Authority):*** An employee who performs a safety-sensitive function including an applicant or transferee who is being considered for hire into a safety-sensitive function (See Attachment A for a list of covered employees).

***Designated Employer Representative (DER):*** An employee authorized by the employer to take immediate action to remove employees from safety-sensitive duties and to make required decisions in testing. The DER also receives test results and other communications for the employer, consistent with the requirements of 49 CFR Parts 40 and 655.

***DOT, The Department, DOT Agency:*** These terms encompass all DOT agencies, including, but not limited to, the Federal Aviation Administration (FAA), the Federal Railroad Administration (FRA), the Federal Motor Carrier Safety Administration (FMCSA), the Federal Transit Administration (FTA), the National Highway Traffic Safety Administration (NHTSA), the Pipeline and Hazardous Materials Safety Administration (PHMSA), and the Office of the Secretary (OST). For purposes of 49 CFR Part 40, the United States Coast Guard (USCG), in the Department of Homeland Security, is considered to be a *DOT agency* for drug testing purposes. These terms include any designee of a *DOT agency*.

*Dilute Specimen:* A urine specimen with creatinine and specific gravity values that are lower than expected for human urine.

***Disabling Damage:*** Damage which precludes departure of any *vehicle* from the scene of the occurrence in its usual manner in daylight after simple repairs. Disabling damage includes damage to *vehicles* that could have been operated but would have been further damaged if so operated, but does not include damage which can be remedied temporarily at the scene of the occurrence without special tools or parts, tire disablement without other damage even if no spare tire is available, or damage to headlights, taillights, turn signals, horn, or windshield wipers that makes them inoperative.

*Evidentiary Breath Testing Device (EBT):* A device approved by the NHTSA for the evidential testing of breath at the 0.02 and the 0.04 *alcohol concentrations*, and appears on ODAPC's Web page for "Approved Evidential Breath Measurement Devices" because it conforms with the model specifications available from NHTSA.

*Initial Drug Test: (Screening Drug Test)* The test used to differentiate a negative specimen from one that requires further testing for drugs or drug metabolites.

*Initial Specimen Validity Test:* The first test used to determine if a urine specimen is adulterated, diluted, substituted, or invalid

***Invalid Result:*** The result reported by an HHS-certified *laboratory* in accordance with the criteria established by the HHS Mandatory Guidelines when a positive, negative, adulterated, or substituted result cannot be established for a specific drug or specimen validity test.

**Laboratory:** Any U.S. laboratory certified by HHS under the National Laboratory Certification program as meeting standards of Subpart C of the HHS Mandatory Guidelines for Federal Workplace Drug Testing Programs; or, in the case of foreign laboratories, a laboratory approved for participation by *DOT* under this part.

**Limit of Detection (LOD):** The lowest concentration at which a measurand can be identified, but (for quantitative assays) the concentration cannot be accurately calculated.

**Limit of Quantitation:** For quantitative assays, the lowest concentration at which the identity and concentration of the measurand can be accurately established.

**Medical Review Officer (MRO):** A licensed physician (medical doctor or doctor of osteopathy) responsible for receiving *laboratory* results generated by the drug testing program who has knowledge of substance abuse disorders, and has appropriate medical training to interpret and evaluate an individual's confirmed positive test result, together with his/her medical history, and any other relevant bio-medical information.

**Negative Dilute:** A drug test result which is negative for the five drug/drug metabolites but has creatinine and specific gravity values that are lower than expected for human urine.

**Negative Result:** The result reported by an HHS-certified *laboratory* to an MRO when a specimen contains no drug or the concentration of the drug is less than the cutoff concentration for the drug or drug class and the specimen is a valid specimen. An *alcohol concentration* of less than 0.02 BAC is a negative test result.

**Non-negative Test Result:** A urine specimen that is reported as adulterated, substituted, invalid, or positive for drug/drug metabolites.

**Oxidizing Adulterant:** A substance that acts alone or in combination with other substances to oxidize drugs or drug metabolites to prevent the detection of the drug or metabolites, or affects the reagents in either the initial or *confirmatory drug test*.

**Performing (a Safety-sensitive Function):** A *covered employee* is considered to be performing a safety-sensitive function and includes any period in which he or she is actually performing, ready to perform, or immediately available to perform such functions.

**Positive Result:** The result reported by an HHS- Certified *laboratory* when a specimen contains a drug or drug metabolite equal or greater to the cutoff concentrations.

**Prohibited Drug:** Identified as marijuana, cocaine, opioids, amphetamines, or phencyclidine as specified in 49 CFR Part 40, as amended.

**Reconfirmed:** The result reported for a split specimen when the second *laboratory* is able to corroborate the original result reported for the primary specimen.



**Rejected for Testing:** The result reported by an HHS- Certified *laboratory* when no tests are performed for specimen because of a fatal flaw or a correctable flaw that has not been corrected.

**Revenue Service Vehicles:** All transit *vehicles* that are used for passenger transportation service.

**Safety-sensitive Functions:** Employee duties identified as:

- (1) The operation of a transit *revenue service vehicle* even when the *vehicle* is not in revenue service.
- (2) The operation of a *non-revenue service vehicle* by an employee when the operation of such a *vehicle* requires the driver to hold a Commercial Drivers License (CDL).
- (3) Maintaining a *revenue service vehicle* or equipment used in revenue service.
- (4) Controlling the movement of a *revenue service vehicle* and
- (5) Carrying a firearm for security purposes.

**Split Specimen Collection:** A collection in which the urine collected is divided into two separate bottles, the primary specimen (Bottle A) and the split specimen (Bottle B).

**Substance Abuse Professional (SAP):** A licensed physician (medical doctor or doctor of osteopathy) or licensed or certified psychologist, social worker, employee assistance professional, state-licensed or certified marriage and family therapist, or drug and *alcohol* counselor (certified by an organization listed at <https://www.transportation.gov/odapc/sap>) with knowledge of and clinical experience in the diagnosis and treatment of drug and *alcohol* related disorders.

**Substituted Specimen:** A urine specimen with creatinine and specific gravity values that are so diminished or so divergent that they are not consistent with normal human urine.

**Test Refusal:** The following are considered a refusal to test if the employee:

- (1) Fail to appear for any test (except a pre-employment test) within a reasonable time, as determined by the employer.
- (2) Fail to remain at the testing site until the testing process is complete. An employee who leaves the testing site before the testing process commences for a pre-employment test has not refused to test.
- (3) Fail to attempt to provide a breath or urine specimen. An employee who does not provide a urine or breath specimen because he or she has left the testing site before the testing process commenced for a pre-employment test has not refused to test.

- (4) In the case of a directly-observed or monitored urine drug collection, fail to permit monitoring or observation of your provision of a specimen.
- (5) Fail to provide a sufficient quantity of urine or breath without a valid medical explanation.
- (6) Fail or decline to take a second test as directed by the collector or the employer for drug testing.
- (7) Fail to undergo a medical evaluation as required by the MRO or the employer's *Designated Employer Representative (DER)*.
- (8) Fail to cooperate with any part of the testing process.
- (9) Fail to follow an observer's instructions to raise and lower clothing and turn around during a directly-observed test.
- (10) Possess or wear a prosthetic or other device used to tamper with the collection process.
- (11) Admit to the adulteration or substitution of a specimen to the collector or MRO.
- (12) Refuse to sign the certification at Step 2 of the *Alcohol Testing Form (ATF)*.
- (13) Fail to remain readily available following an *accident*.
- (14) As a *covered employee*, if the MRO reports that you have a verified adulterated or substituted test result, you have refused to take a drug test.

**Vehicle:** A bus, electric bus, van, automobile, rail car, trolley car, trolley bus, or vessel. A public transit *vehicle* is a *vehicle* used for public transportation or for ancillary services.

**Verified Negative Test:** A drug test result reviewed by a *medical review officer* and determined to have no evidence of *prohibited drug* use at or above the minimum cutoff levels established by the Department of Health and Human Services (HHS).

**Verified Positive Test:** A drug test result reviewed by a *medical review officer* and determined to have evidence of *prohibited drug* use at or above the minimum cutoff levels specified in 49 CFR Part 40 as revised.

**Validity Testing:** The evaluation of the specimen to determine if it is consistent with normal human urine. Specimen validity testing will be conducted on all urine specimens provided for testing under DOT authority. The purpose of validity testing is to determine whether certain adulterants or foreign substances were added to the urine, if the urine was diluted, or if the specimen was substituted.