

# First Time Drug Offenders Pretrial Diversion Program

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*FY 2018 Buncombe County Community  
Funding*

## ***Sunrise Community for Health and Wellness***

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# Application Form

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## Project Name\*

Name of Project

First Time Drug Offenders Pretrial Diversion Program

## Amount Requested\*

Amount Requested

\$55,225.00

## Service Area\*

Which Commissioner Districts are served by this program?

Access a map of Buncombe County Commissioner districts [here](#).

- District 1
- District 2
- District 3

## Which county sustainability goal aligns best with the goals of this project?\*

Based on the Buncombe County Sustainability Plan, select a Category, Goal & Objective that align with the focus of the project and the specific results that will be achieved.

Access the Buncombe County Sustainability Plan [here](#).

Community > Healthy People > Increase access to mental health and substance abuse prevention programs

## If applicable, select a second sustainability goal that aligns with project goals.

**Result 2 is optional** - Select if project aligns with more than one Sustainability Category, Goal & Objective.

Community > Equity in Access > Improve equality in access to healthcare

## If applicable, select a third sustainability goal that aligns with project goals.

**Result 3 is optional** - Select if project aligns with more than one Sustainability Category, Goal

& Objective.

## **Project Description**

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### **Project Description\***

#### Description of Project

The 28th Judicial District will develop a specialized pretrial diversion program for first time offenders, charged possessing small amounts of Schedule I and/or Schedule II drugs. The admission of defendants into the program will be established by the District Attorney and a Peer Support Specialist(PSS) will become a condition of the program. Conviction of possession of Schedule I and/or Schedule II drugs is a felony, which can result in additional barriers to rehabilitation. Felonies make it more difficult for persons to obtain housing and adequate employment. This can result in a cascade of negative effects such as losing custody of children, needing food stamps and possibly additional criminal behavior. In 2016, Buncombe County jail has 67.6% recidivism rate. 68.4% of the population in custody is pretrial.

The PSS will be hired by Sunrise Community for Recovery and Wellness (SCRAW.) A Peer Support Specialist (PSS) is defined as an individual who identifies him/herself as having a substance abuse and possible co-occurring mental health disorder and who is in long-term recovery. Due to the person's life experiences, he/she is uniquely qualified to perform the functions of the position.

General requirements for the Court Pretrial Release PSS position include:

- Maintain N.C. Certification for PSS
- Personal knowledge of mental health/substance use recovery
- Serve as a model of hope and recovery
- Maintain effective relationships with co-workers, court administration, and community agencies
- Certified in Moral Reconciliation Therapy

An individualized Wellness Recovery Action Plan (WRAP) will be written for each referred client which will include such items as: 1) accessing substance abuse, mental health and medical services; 2) acquiring community and financial supports. Adherence to the plan will be reviewed regularly by assigned court personnel.

### **How many people will this project serve?\***

State the number of individuals or households in Buncombe County that will be served by this project. Also state the number of people that will experience the desired result. For example, if dropout prevention services are being delivered to 100 students, how many will successfully graduate from high school?

40-50 clients will be served in the first year. The current percentage of Buncombe County persons placed in pretrial release completing the conditions is 82%. Based on that percentage, it could be expected that 32-40 persons would be served. This number does not include family members who might also well be impacted and benefit from these services. This is a pilot project to develop best practices for implementation for this identified population. If successful, future years could serve larger numbers.

## Describe the people this project will serve.\*

Characterize the demographics of the project's target population in terms such as age, gender, income, race, ethnicity, geographic area, etc. Include information about method of referral/recruitment of the target population.

Any citizens arrested in Buncombe County for first time drug possession of small amounts of Schedule I and Schedule II drugs may be eligible for this program. Although any race age, gender, ethnicity, income, or educational level could be represented in this population, it is the experience of the courts and SCRAW that our most vulnerable populations such as minorities, low income or educational level could most benefit from this program, as these citizens commonly have fewer community and/or family supports. Successful utilization of this program should help them reduce recidivism, maintain custody of their children, obtain housing, transportation, jobs, access 12 steps programs and other community assistance.

## What key steps will you take to achieve the results of this project?\*

Describe the core elements of your implementation plan, including milestones that will take place within the funding timeframe.

1. Hold a series of planning meetings with SCRAW and court personnel to develop protocol. - Spring, early summer, 2017
2. Determine which nationally evidence based assessment tool will be used for entrance into the program -June, 2017
3. Orientation for PSS to Court System -June, July 2017
4. Orientation for relevant court employees to SCRAW- June, July 2017
5. Develop a referral plan with appropriate Memorandi of Agreement between courts, pretrial services and SCRAW-July, 2017
6. Develop releases of information, procedures and forms- July, 2017
7. Recruit and train .75 time PSS- July, 2017
8. Develop an evaluation plan- using court data systems and national database utilized by SCRAW- July, August, 2017
9. Begin accepting persons with charges into the pretrial program- August, 2017
10. Track data and progress -August, 2017-June 2018
11. Report to Buncombe County progress and results of grant Late Spring- early summer 2018

## Describe the evidence of success that backs this project's approach.\*

What evidence of success (i.e. evidence-based research, practice model, accreditation, industry standards, and/or other framework) are you using as a basis for your project design?

Defendants with pretrial services have better outcomes.  
<http://www.pretrial.org/download/featured/Pretrial%20Criminal%20Justice%20Research%20Brief%20-%20LJAF%202013.pdf>

Offenders in diversion programs remain in community not jail. Stronger mental health, substance abuse and treatment outcomes are gained.

<https://www.bja.gov/Publications/PretrialDiversionResearchSummary.pdf>

2016 success rate for Pretrial services Buncombe County with other populations:

No New Charge Appearance rate- 91%

Compliance with all court ordered conditions-82%

PSS is an evidence based model of recovery by The Centers of Medicaid Medicare, Medicare Services(CMS), and SAMHSA

[http://cosb.countyofsb.org/uploadedFiles/admhs\\_new/resources/Systems\\_Change/Peer\\_Action\\_Team/CMS-8-15-07.pdf](http://cosb.countyofsb.org/uploadedFiles/admhs_new/resources/Systems_Change/Peer_Action_Team/CMS-8-15-07.pdf)

<http://www.samhsa.gov/recovery/peer-support-social-inclusion>

Moral Reconciliation Therapy cuts recidivism rates for treatment resistant clients

<http://www.4emergence.com/evidence-based-practice/moral-reconciliation-therapy>

## **Describe your data collection, tracking, and reporting procedures.\***

Explain your methods for documenting project, service and client information. Include a description of any software or other tools utilized.

An evaluation team representing SCRAW and the pre-release trial program will design the evaluation, analyze the results, and report to SCRAW's Executive Board and to the Court quarterly. The tracking will include how many persons served, what supports were needed and which were accessed, compliance with the other conditions of pre-release, numbers of new arrests for those enrolled. We will use some of the measurements from the U.S. Department of Justice "Measuring What Matters" report.

[http://www.pretrial.org/download/performance measures/Measuring%20What%20Matters.pdf](http://www.pretrial.org/download/performance%20measures/Measuring%20What%20Matters.pdf)

## **Explain your technological resources and capacity.\***

Explain your current technological strengths and barriers and how this impacts your ability to track data and report outcomes. Who is responsible for adaptation and use of technology within your organization?

SCRAW uses a national database called the GPRA (<http://www.samhsa.gov/grants/gpra-Measurement-tools>), which will be one of the tracking tools utilized. This database requires the use of an assessment tool and an Individualized plan for persons in recovery. It also contains data about which community services have been utilized. SCRAW has adequate internet and IT support. The Project Director is responsible for the use of technology.

## Organizational Profile

### How does the proposed project support your mission and strategic plan?\*

State your mission and the date of your most recent strategic plan, and explain how the proposed project helps advance your organizational goals.

This project directly supports SCRAW's mission which is to "help persons seeking recovery connect with community resources and to eliminate personal and institutional barriers." Some of SCRAW's activities include:

1. Provide assessments of needs and supports for persons with substance use and co-occurring mental health issues
2. Host recovery meetings
3. Provide recovery coaching using Moral Reconciliation Therapy (MRT)
4. Link individuals with community services such as medical, mental health, and/or substance use treatment and agencies/organizations which address vocational, educational, and housing. Special emphasis is placed on linking individuals to organizations that promote skill building necessary to maintain sobriety
5. Educate the public on recovery activities
6. Mentor new certified Peer Support Specialists

A formal strategic plan is in the process of development. Revisions are currently being made and will be presented to the SCRAW board in January, 2017.

### What expertise/accomplishments do you have that are relevant to the proposed project?\*

List your organization's strengths as well as accomplishments from the past 3 years that position the organization to be successful with the proposed project.

Accomplishments include: 1) the opening of Sunrise Community for Recovery and Wellness Center July, 2016; 2) accepting referrals from multiple organizations; 3) providing client assessments, recovery plans and a full array of community supports; 4) training community providers about peer support services, 5) providing peer support groups in a variety of settings including an ongoing program at the county detention center; 6) We are one of five organizations in N.C. that have received SAMHSA grant for recovery; 7) We are one of two Buncombe County organizations providing "Access to Recovery" services through the State funding; 8) providing community education including showing recovery documentaries and participation in public recovery rallies; 9) Receiving financial support from the regional LME/MCO, Vaya Health, for recovery activities

### Describe partners that are critical to the success of your organization.\*

List any external partners, intermediaries or advisors important to your success, and describe their role and evidence of their commitment. Describe successful collaborations with these people or groups.

SCRAW has strong relationships with over thirty community organizations. Some of these include: Homeward Bound, Vaya Health, Buncombe County Jail, The Haywood Congregational Church, RHA and mental health providers, DSS, The Sparc Network, Mission Hospital, The Dale Fell Center, MAHEC, the Re-

entry Council, Kyros West, The Goodwill Industries, and Green Opportunities. Many of the organizations are already actively referring clients. There are ongoing discussions with Sisters of Mercy, The Family Justice Center, Pisgal Legal, The Chamber of Commerce, The WNC Medical Society and senior management at Mission Health Systems. It is anticipated that relationships will be developed with Community Care of WNC ( CCWNC) and other FQHC's.

## ***Financial Information***

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### **Nonprofit financial assurance requirements:**

Financial statements, prepared using a recognizable basis of accounting (i.e., modified accrual) and IRS Form 990s must be submitted to Buncombe County annually.

- Organizations with annual revenues of \$300,000 or greater must submit financial statements audited in accordance with generally accepted auditing standards.
- Those with revenues between \$100,000 and \$300,000 must submit financial statements reviewed in accordance with SSARS 19.
- Those with revenues of less than \$100,000 must submit non-disclosure financial statements compiled in accordance with SSARS 19.

All financial statements must be reported on by a certified public accountant and include a full balance sheet, income statement, and cash flow statement.

These requirements are considered minimum requirements. Organizations may submit a higher level of assurance than is required.

### **IRS Form 990 - Upload Here**

Click Choose File to upload a copy of the 990 form you most recently completed.

Tax 990-EZ form for IRS inlieu of audit.pdf

### **If your organization does not have a 990, briefly state the reason.**

Include a statement of intent to comply with nonprofit financial assurance requirements as listed above.

*[Unanswered]*

### Financial Statements - Upload here

Click Choose File to upload a copy of the most recently completed financial statements (audit, compilation or review).

### If your organization does not have financial statements, briefly state the reason.

Include a statement of intent to comply with nonprofit financial assurance requirements as listed above.

Revenue for 2015 was \$ 4,721; Expenses were \$ 3,498. Given the small amount of financial activity, our CPA advised that preparation of the 990-EZ was sufficient. An audit will occur for 2016.

### Complete Budget Worksheet - Upload Here\*

Download the budget form [Here](#).

Complete the budget form for this project/program and the overall agency. Save it to your computer, then upload it by clicking Choose File.

community-funding-budget-form final.xlsx

### Budget Narrative\*

Describe all differences between current year and proposed year. List all pending funding sources with expected date of notification. Please detail any other information that may help clarify the budget.

No other funding sources are expected for this project.

Line 12- Salary includes 18hr/half year at .75. Is raised to full time in second half. Also includes 30% for benefits, payroll, taxes, etc.

Line 13- Contracted fees for evaluation plan and implementation

Line 16-Stipend for PSS auto insurance

Line 19-MRT training, subsequent travel expenses

Line 20- Administrative costs include supervision, office supplies, agency/court meetings, data entering, utilities, rent, etc.

### What (if any) portion of requested funds will be used to support capital expenses?\*

Capital projects are defined as those used to acquire or upgrade physical assets such as property, buildings, or equipment. Please detail.

There will be no capital expenses



### Employee Wages

Please provide information about the wage breakdowns of your employees. Living wage for Buncombe County has been identified as \$11.00 per hour for employees with employer-provided health insurance and \$12.50 per hour for employees without.

#### Employees with employer-provided health insurance\*

List the number of employees in your organization with employer-provided health insurance in each wage category. For salaried employees, use hourly wage equivalents.

\$7.25 - \$10.99 per hour

\$11.00 - \$14.99 per hour

\$15.00 - \$19.99 per hour

\$20.00 - \$24.99 per hour

\$25.00 - \$29.99 per hour

\$30.00 - \$34.99 per hour

\$35.00 - \$39.99 per hour

\$40.00+ per hour

1 at \$22/hr X 10hr/week with benefits = \$28.60/hr

1 at \$20/hr X 32hr/week with benefits = \$26.00/hr

1 PSS @\$20/hr X 40hr/wk with benefits =\$26.00/hr

#### Employees without employer-provided health insurance\*

List the number of employees in your organization without employer-provided health insurance in each wage category. For salaried employees, use hourly wage equivalents.

\$7.25 - \$12.49 per hour

\$12.50 - \$14.99 per hour

\$15.00 - \$19.99 per hour

\$20.00 - \$24.99 per hour

\$25.00 - \$29.99 per hour

\$30.00 - \$34.99 per hour

\$35.00 - \$39.99 per hour

\$40.00+ per hour

One PSS receives a weekly stipend of \$100.00