

1. REPORT OF BUDGET VS. ACTUAL

ITEM	Basis of Accounting: (check one)	Modified Accrual Accrual	X	PRIOR YEAR		CURRENT YEAR			
				(1)	(2)	(3)	(4)	(5)	(6)
				BUDGET	ACTUAL	BUDGET	ACTUAL YR-TO-DATE	BALANCE (Col. 3-4)	ANNUALIZED PERCENTAGE **
REVENUE				2015-2016		CURRENT YEAR			
Service Fees from LME-Delivered Services				370,416	385,087	359,750	412,249	(52,499)	152.79%
Medicaid Pass Through Funds				60,000	50,344	80,000	42,164	37,836	70.27%
Interest Earned				141,000	229,841	221,000	180,626	40,374	108.97%
Rental Income				53,772	53,772	53,772	40,329	13,443	100.00%
Budgeted Fund Balance * (Detail in Item 4, below)				17,736,308	-	36,867,355	-	36,867,355	0.00%
Other Local				4,670,325	3,734,467	2,578,849	1,729,244	849,605	89.41%
Total Local Funds				23,031,821	4,453,511	40,160,726	2,404,612	37,756,114	7.98%

County Appropriations (by county, includes ABC Funds):									
Alexander	County			37,825	37,825	50,000	37,500	12,500	100.00%
Alleghany	County			115,483	115,483	115,483	86,612	28,871	100.00%
Ashe	County			189,566	189,566	189,566	142,175	47,392	100.00%
Avery	County			89,600	89,600	89,600	67,200	22,400	100.00%
Buncombe	County			600,000	600,000	600,000	450,000	150,000	100.00%
Caldwell	County			118,538	119,377	119,628	89,713	29,915	99.99%
Cherokee	County			75,000	75,000	75,000	56,250	18,750	100.00%
Clay	County			15,000	15,000	15,000	11,250	3,750	100.00%
Graham	County			6,000	6,000	6,000	4,500	1,500	100.00%
Haywood	County			101,900	116,762	101,900	75,450	26,450	98.72%
Henderson	County			528,612	528,612	528,612	396,459	132,153	100.00%
Jackson	County			123,081	123,081	123,081	92,311	30,770	100.00%
Macon	County			106,623	106,623	106,623	79,967	26,656	100.00%
Madison	County			30,000	30,000	30,000	22,500	7,500	100.00%
McDowell	County			67,856	67,856	67,856	50,892	16,964	100.00%
Mitchell	County			18,000	18,000	18,000	13,500	4,500	100.00%
Polk	County			76,991	78,314	77,991	58,873	19,118	100.65%
Rutherford	County			102,168	102,168	102,168	76,626	25,542	100.00%
Swain	County			25,000	25,799	32,260	19,492	12,768	80.56%
Transylvania	County			99,261	99,261	99,261	74,446	24,815	100.00%
Watauga	County			171,195	171,195	171,194	128,396	42,799	100.00%
Wilkes	County			264,200	266,718	266,810	199,419	67,391	99.66%
Yancey	County			26,000	26,000	26,000	19,500	6,500	100.00%
Total County Funds				2,987,899	3,008,241	3,012,033	2,253,031	759,002	99.73%

LME Systems Admin. Funds (Cost Model)									
DMH/DD/SAS Administrative Funds (% basis)				2,441,587	2,441,587	-	-	-	-
DMH/DD/SAS Services Funding				64,720,453	62,587,966	56,144,467	40,336,966	15,807,501	95.79%
DMA Capitation Funding				304,657,129	307,814,900	317,256,176	238,684,422	78,571,754	100.31%
DMA Risk Reserve Funding				6,217,492	6,258,689	6,474,616	4,809,132	1,665,484	99.04%
All Other State/Federal Funds				101,000	80,566	17,000	15,945	1,055	125.06%
Total State and Federal Funds				378,137,661	379,183,708	379,892,259	283,846,465	96,045,794	102.66%

TOTAL REVENUE				404,157,381	386,645,460	423,065,018	288,504,108	134,560,910	90.93%
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EXPENDITURES:									
System Management/Administration/Care Coordination				52,065,620	46,275,865	58,774,212	34,066,846	24,707,366	77.28%
LME Provided Services				4,042,644	2,850,572	2,997,722	2,412,334	585,388	107.30%
Provider Payments (State Funds)				335,399,045	324,388,145	347,863,781	245,133,014	102,730,767	93.96%
Provider Payments (Federal Funds)				7,853,268	6,677,173	8,581,767	7,142,892	1,438,875	110.98%
Provider Payments (County/Local)				3,235,764	3,016,429	3,284,486	2,360,272	924,214	95.81%
All Other				1,561,040	1,296,009	1,563,050	854,086	708,964	72.86%
TOTAL EXPENDITURES				404,157,381	384,504,193	423,065,018	291,969,444	131,095,574	92.02%

Net Income (from Operations and Risk Reserve)					2,141,267		(3,465,339)		
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Beginning Unrestricted/Unassigned Fund Balance					67,730,176		8,031,041		
Balance in Restricted DMA Risk Reserve					24,877,639		29,686,771		

Current Estimated Unrestricted/Unassigned Fund Balance and percent of budgeted expenditures				1.99%	8,031,041	-2.11%	(8,917,698)	(See Note Below about FB)	
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2. CURRENT CASH POSITION

Current Cash in Bank (Including Risk Reserve)					107,021,213				
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3. SERVICE EXCEPTIONS (Provided Based on System Capability)

Services authorized but not billed (IBNR)					14,770,813				
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4. DETAIL ON BUDGETED FUND BALANCE

		Budgeted	Utilized to-Date	Year- Remaining Balance	% Utilized
Payments to Providers	FY1516 MOE unspent-alloc to FY1617	170,735	128,051	42,684	75.00%
Payments to Providers	Single Stream Replacement Funding	20,622,914	15,467,186	5,155,729	75.00%
Other Initiatives	C3@356 Urgent Care Funding	2,943,481	2,180,804	762,677	74.09%
Other Initiatives	Western Region Crisis Expansion	100,000	0	100,000	0.00%
Other Initiatives	Northern Region Crisis Expansion	9,500	9,500	-	100.00%
Other Initiatives	Child Facility Based Crisis	500,000	0	500,000	0.00%

LME / MCO NAME:		VAYA HEALTH		FOR THE PERIOD ENDING:		March 31, 2017	
# of month in the fiscal year (July = 1, August = 2, . . . , June = 12) =====>				9			
Other Initiatives	FBC in Caldwell County	100,000	28,014	71,986	28.01%		
Other Initiatives	IDD Crisis Service Expansion	1,000,000	73,987	926,013	7.40%		
Other Initiatives	Integrated Collab Care Svc Initiatives	4,735,933	2,049,040	2,686,893	43.27%		
Other Initiatives	Admin Office - Relocation Fund	167,920	124,465	43,455	74.12%		
Other Initiatives	Technology Enabled Care and Expo	104,460	24,587	79,873	23.54%		
Other Initiatives	Youth Villages LifeSet Program	629,027	364,157	264,870	57.89%		
Other Initiatives	Mediation, Transcription & Other Legal	470,000	148,845	321,155	31.67%		
Other Initiatives	Equipment & Leasehold Improvements	807,338	171,350	635,988	21.22%		
Other Initiatives	TCLI Legal Aid	450,000	300,000	150,000	66.67%		
Other Initiatives	Replacement Bridge Funding	192,467	144,350	48,117	75.00%		
Other Initiatives	Data Security Initiatives	322,890	200,881	122,009	62.21%		
Other Initiatives	Medicaid Reform Readiness	125,000	53,223	71,777	42.58%		
Other Initiatives	Community Engagement/Awareness	654,830	611,876	42,954	93.44%		
Other Initiatives	Peer Run Recovery Centers	77,400	48,195	29,205	62.27%		
Other Initiatives	MAHEC	358,960	207,826	151,134	57.90%		
Other Initiatives	Jail Diversion	65,000	0	65,000	0.00%		
Other Initiatives	Medication Assisted Tx for Opioid Users	250,000	156,250	93,750	62.50%		
Other Initiatives	Project Search	70,000	32,162	37,838	45.95%		
Other Initiatives	Substance Use Recovery Housing	200,000	102,359	97,641	51.18%		
Other Initiatives	Veteran's Program	225,000	500	224,500	0.22%		
Other Initiatives	Rebranding	1,389,500	371,668	1,017,832	26.75%		
Other Initiatives	WNC SU Alliance	125,000	75,974	49,026	60.78%		
Total Fund Balance Appropriated/Utilized to Date		36,867,355	23,075,249	13,792,106	62.59%		

* We certify (a) this report to contain accurate and complete information, (b) explanations are provided for any expenditure item with an annualized expenditure rate greater than 110% and for any revenue item with an annualized receipt rate of less than 90%, and (c) a copy of this report has been provided to each county manager in the catchment area*.

LME / MCO Director	Date	LME/MCO Finance Officer	Date	Area Board Chair	Date
			4/28/2017		

Quarterly Fiscal Monitoring Report - Explanation of Revenue and Expenditure Variances

VAYA HEALTH	
For the period ending:	March 31, 2017

ITEM	Explanation
Revenues Less than 90%	
Medicaid Pass Through Funds (70.3%)	Pass Through services not being used effective 12/31/16. Billing is direct between the Division and NCTracks.
Fund Balance Appropriated (0.00%)	Fund Balance Appropriation is budgeted - but no actual will be recorded on the financial statements.
Other Local (89.4%)	SPC Grants and Buncombe County funds have been less than expected and the IDD Council Grant is a new grant that we haven't started drawing down yet.
Swain County MOE (80.6%)	Swain County ABC funds are under the estimated Budget amount as of 3/31/2017.

Expenditures Exceeding 110%	
Provider Payments (Federal Funds) (111.0%)	In programs such as GERO and Housing - the Federal Portion is utilized first causing the overutilization as of 3/31/2017. (This variance will get less and less as the year goes by)

Other Notes

County MOE funds are recorded on an accrual basis - therefore the amount shown in the report above may not reflect the actual amount of funds received from each county.

If County Funds of less than 75% of the Annual Budgeted amount have been received - the remaining has been accrued and is considered a receivable at 3/31/2017.

If County MOE funds in excess of 75% of the Annual Budgeted amount have been received - the excess has been deferred and not reflected as income as of 3/31/2017.

Please note that any ABC funds collected will be shown in the same line as MOE funds for that county. ABC Funds are recorded on a Cash Basis.

The reason that the Unrestricted/Unassigned Fund Balance at the beginning of FY 15-16 is so much higher than Unrestricted/Unassigned Fund Balance at the beginning of FY 16-17 is due to all the Commitments that the Board of Directors for Vaya Health have approved. These amounts have been removed from the Unrestricted/Unassigned Fund Balance Section and are now being considered Board Committed Fund Balance.

The Net Loss (Current Estimated Unrestricted/Unassigned Fund Balance) through 3/31/2017 is expected due to the use of Fund Balance for Community Reinvestment Initiatives and the \$20,622,914 reduction in Single Stream Funding for FY 16-17 that had to be replaced with Fund Balance.