

LME / MCO NAME: VAYA HEALTH FOR THE PERIOD ENDING: December 31, 2016
 # of month in the fiscal year (July = 1, August = 2, . . . , June = 12) =====> 6

1. REPORT OF BUDGET VS. ACTUAL
 Basis of Accounting: Modified Accrual X (1) (2) (3) (4) (5) (6)
 (check one) Accrual

ITEM	PRIOR YEAR		CURRENT YEAR			
	BUDGET	ACTUAL	BUDGET	ACTUAL YR-TO-DATE	BALANCE (Col. 3-4)	ANNUALIZED PERCENTAGE **

REVENUE

Service Fees from LME-Delivered Services	370,416	385,087	72,500	329,426	(256,926)	908.76%
Medicaid Pass Through Funds	60,000	50,344	60,000	42,164	17,836	140.55%
Interest Earned	141,000	229,841	211,000	114,014	96,986	108.07%
Rental Income	53,772	53,772	53,772	26,886	26,886	100.00%
Budgeted Fund Balance * (Detail in Item 4, below)	17,736,308	-	36,203,670	-	36,203,670	0.00%
Other Local	4,670,325	3,734,467	2,451,849	822,775	1,629,074	67.11%
Total Local Funds	23,031,821	4,453,511	39,052,791	1,335,265	37,717,526	6.84%

County Appropriations (by county, includes ABC Funds):

Alexander County	37,825	37,825	50,000	25,000	25,000	100.00%
Alleghany County	115,483	115,483	115,483	57,742	57,742	100.00%
Ashe County	189,566	189,566	189,566	94,783	94,783	100.00%
Avery County	89,600	89,600	89,600	44,800	44,800	100.00%
Buncombe County	600,000	600,000	600,000	300,000	300,000	100.00%
Caldwell County	118,538	119,377	119,628	58,257	61,371	97.40%
Cherokee County	75,000	75,000	75,000	37,500	37,500	100.00%
Clay County	15,000	15,000	15,000	7,500	7,500	100.00%
Graham County	6,000	6,000	6,000	3,000	3,000	100.00%
Haywood County	101,900	116,762	101,900	50,429	51,471	98.98%
Henderson County	528,612	528,612	528,612	264,306	264,306	100.00%
Jackson County	123,081	123,081	123,081	61,541	61,541	100.00%
Macon County	106,623	106,623	106,623	53,312	53,312	100.00%
Madison County	30,000	30,000	30,000	15,000	15,000	100.00%
McDowell County	67,856	67,856	67,856	33,928	33,928	100.00%
Mitchell County	18,000	18,000	18,000	9,000	9,000	100.00%
Polk County	76,991	78,314	77,991	38,985	39,006	99.97%
Rutherford County	102,168	102,168	102,168	51,084	51,084	100.00%
Swain County	25,000	25,799	32,260	13,165	19,095	81.62%
Transylvania County	99,261	99,261	99,261	49,631	49,631	100.00%
Watauga County	171,195	171,195	171,194	85,597	85,597	100.00%
Wilkes County	264,200	266,718	266,810	132,308	134,502	99.18%
Yancey County	26,000	26,000	26,000	13,000	13,000	100.00%
Total County Funds	2,987,899	3,008,241	3,012,033	1,499,865	1,512,167	99.59%

LME Systems Admin. Funds (Cost Model)

DMH/DD/SAS Administrative Funds (% basis)	2,441,587	2,441,587	-	-	-	-
DMH/DD/SAS Services Funding	64,720,453	62,587,966	55,411,881	27,431,237	27,980,644	99.01%
DMA Capitation Funding	304,657,129	307,814,900	316,414,894	158,118,208	158,296,686	99.94%
DMA Risk Reserve Funding	6,217,492	6,258,689	6,457,447	3,171,573	3,285,874	98.23%
All Other State/Federal Funds	101,000	80,566	128,000	131,030	(3,030)	204.73%
Total State and Federal Funds	378,137,661	379,183,708	378,412,222	188,852,048	189,560,174	102.66%

TOTAL REVENUE

TOTAL REVENUE	404,157,381	386,645,460	420,477,046	191,687,178	228,789,867	91.18%
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EXPENDITURES:

System Management/Administration/Care Coordination	52,065,620	46,275,865	57,680,429	22,500,836	35,179,593	78.02%
LME Provided Services	4,042,644	2,850,572	2,235,179	1,823,397	411,782	163.15%
Provider Payments (State Funds)	335,399,045	324,388,145	348,435,621	159,487,250	188,948,371	91.54%
Provider Payments (Federal Funds)	7,853,268	6,677,173	7,323,207	4,969,684	2,353,523	135.72%
Provider Payments (County/Local)	3,235,764	3,016,429	3,284,486	1,621,695	1,662,791	98.75%
All Other	1,561,040	1,296,009	1,518,125	713,067	805,058	93.94%
TOTAL EXPENDITURES	404,157,381	384,504,193	420,477,047	191,115,929	229,361,118	90.90%

Net Income (from Operations and Risk Reserve)

Net Income (from Operations and Risk Reserve)		2,141,267		571,247		
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Beginning Unrestricted/Unassigned Fund Balance

Beginning Unrestricted/Unassigned Fund Balance		67,730,176		8,031,041		
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Balance in Restricted DMA Risk Reserve

Balance in Restricted DMA Risk Reserve		24,877,639		28,049,211		
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Current Estimated Unrestricted/Unassigned Fund Balance and percent of budgeted expenditures

Current Estimated Unrestricted/Unassigned Fund Balance and percent of budgeted expenditures	1.99%	8,031,041	-0.75%	(3,160,596)	(See Note Below about FB)	
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2. CURRENT CASH POSITION

Current Cash in Bank (Including Risk Reserve) 112,545,373

3. SERVICE EXCEPTIONS (Provided Based on System Capability)

Services authorized but not billed (IBNR) 16,211,717

LME / MCO NAME:

VAYA HEALTH

FOR THE PERIOD ENDING:

December 31, 2016

of month in the fiscal year (July = 1, August = 2, . . . , June = 12) =====>

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4. DETAIL ON BUDGETED FUND BALANCE		Budgeted	Utilized Year-to-Date	Remaining Balance	% Utilized
Payments to Providers	FY1516 MOE unspent-alloc to FY1617	170,735	85,368	85,368	50.00%
Payments to Providers	Single Stream Replacement Funding	20,622,914	10,311,457	10,311,457	50.00%
Other Initiatives	C3@356 Urgent Care Funding	2,850,000	1,574,837	1,275,163	55.26%
Other Initiatives	Western Region Crisis Expansion	100,000	0	100,000	0.00%
Other Initiatives	Northern Region Crisis Expansion	9,500	9,500	-	100.00%
Other Initiatives	Child Facility Based Crisis	500,000	0	500,000	0.00%
Other Initiatives	FBC in Caldwell County	100,000	0	100,000	0.00%
Other Initiatives	IDC Crisis Service Expansion	1,000,000	0	1,000,000	0.00%
Other Initiatives	Integrated Collab Care Svc Initiatives	4,545,619	1,141,241	3,404,378	25.11%
Other Initiatives	Admin Office - Relocation Fund	128,995	78,256	50,739	60.67%
Other Initiatives	Technology Enabled Care and Expo	61,900	22,187	39,713	35.84%
Other Initiatives	Youth Villages LifeSet Program	629,027	139,319	489,708	22.15%
Other Initiatives	Mediation, Transcription & Other Legal	470,000	142,441	327,559	30.31%
Other Initiatives	Equipment & Leasehold Improvements	807,338	80,145	727,193	9.93%
Other Initiatives	TCLI Legal Aid	450,000	187,500	262,500	41.67%
Other Initiatives	Replacement Bridge Funding	192,467	96,234	96,234	50.00%
Other Initiatives	Data Security Initiatives	200,945	89,092	111,853	44.34%
Other Initiatives	Medicaid Reform Readiness	125,000	33,605	91,395	26.88%
Other Initiatives	Community Engagement/Awareness	632,330	562,762	69,568	89.00%
Other Initiatives	Peer Run Recovery Centers	77,400	2,000	75,400	2.58%
Other Initiatives	MAHEC	330,000	1,198	328,802	0.36%
Other Initiatives	Jail Diversion	65,000	0	65,000	0.00%
Other Initiatives	Medication Assisted Tx for Opioid Users	250,000	62,500	187,500	25.00%
Other Initiatives	Project Search	70,000	18,217	51,783	26.02%
Other Initiatives	Substance Use Recovery Housing	200,000	53,528	146,472	26.76%
Other Initiatives	Veteran's Program	225,000	500	224,500	0.22%
Other Initiatives	Rebranding	1,389,500	239,827	1,149,673	17.26%
Other Initiatives	ADATC	0	31,106	(31,106)	-100.00%
Total Fund Balance Appropriated/Utilized to Date		36,203,670	14,962,819	21,240,851	41.33%

* We certify (a) this report to contain accurate and complete information, (b) explanations are provided for any expenditure item with an annualized expenditure rate greater than 110% and for any revenue item with an annualized receipt rate of less than 90%, and (c) a copy of this report has been provided to each county manager in the catchment area".

LME / MCO Director

Date

LME/MCO Finance Officer

Date

Area Board Chair

Date

Quarterly Fiscal Monitoring Report - Explanation of Revenue and Expenditure Variances

VAYA HEALTH

For the period ending:

December 31, 2016

ITEM

Explanation

Revenues Less than 90%

Other Local (67.11%)
Fund Balance Appropriated (0.00%)
Swain County MOE (81.6%)

Funds from Buncombe County for the C3@356 Project not received as of 12/31/2016.
Fund Balance Appropriation is budgeted - but no actual will be recorded on the financial statements.
Swain County ABC funds are under the estimated Budget amount as of 12/31/2016.

Expenditures Exceeding 110%

LME Provided Services (163.15%)
Provider Payments (Federal Funds) (135.7%)

There are Leasehold Improvement Expenses in the c3@356 project that do not have a budget at 12/31/2016.
In programs such as GERO and Housing - the Federal Portion is utilized first causing the overutilization as of 12/31/2016.
(This variance will get less and less as the year goes by)

Other Notes

County MOE funds are recorded on an accrual basis - therefore the amount shown in the report above may not reflect the actual amount of funds received from each county.

If County Funds of less than 50% of the Annual Budgeted amount have been received - the remaining has been accrued and is considered a receivable at 12/31/2016.

If County MOE funds in excess of 50% of the Annual Budgeted amount have been received - the excess has been deferred and not reflected as income as of 12/31/2016.

Please note that any ABC funds collected will be shown in the same line as MOE funds for that county. ABC Funds are recorded on a Cash Basis.

The reason that the Unrestricted/Unassigned Fund Balance at the beginning of FY 15-16 is so much lower than Unrestricted/Unassigned Fund Balance at the beginning of FY 16-17 is due to all the Commitments that the Board of Directors for Vaya Health have approved. These amounts have been removed from the Unrestricted/Unassigned Fund Balance Section and are now being considered Board Committed Fund Balance.

The Net Loss (Current Estimated Unrestricted/Unassigned Fund Balance) through 12/31/2016 is expected due to the use of Fund Balance for Community Reinvestment Initiatives and the \$20,622,914 reduction in Single Stream Funding for FY 16-17 that had to be replaced with Fund Balance.