

LME / MCO NAME:

SMOKY MOUNTAIN LME/MCO

FOR THE PERIOD ENDING:

June 30, 2016

# of month in the fiscal year (July = 1, August = 2, . . . , June = 12) =====>

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1. REPORT OF BUDGET VS. ACTUAL

ITEM	Modified Accrual Basis of Accounting: (check one)	X	PRIOR YEAR		CURRENT YEAR			
			(1)	(2)	(3)	(4)	(5)	(6)
			2014-2015 BUDGET	ACTUAL	BUDGET	ACTUAL YR-TO-DATE	BALANCE (Col. 3-4)	ANNUALIZED PERCENTAGE **
<b>REVENUE</b>								
Service Fees from LME-Delivered Services			25,000	(515,606)	370,416	385,087	(14,671)	103.96%
Medicaid Pass Through Funds			215,000	174,535	60,000	50,344	9,656	83.91%
Interest Earned			145,000	154,678	141,000	229,755	(88,755)	162.95%
Rental Income			53,772	53,772	53,772	53,772	-	100.00%
Budgeted Fund Balance * (Detail in Item 4, below)			2,413,000	-	17,736,308	-	17,736,308	0.00%
Other Local			1,497,493	2,598,918	4,670,325	3,733,879	936,446	79.95%
<b>Total Local Funds</b>			<b>4,349,265</b>	<b>2,466,297</b>	<b>23,031,821</b>	<b>4,452,837</b>	<b>18,578,984</b>	<b>19.33%</b>
<b>County Appropriations (by county, includes ABC Funds):</b>								
Alexander County			37,825	37,825	37,825	37,825	-	100.00%
Alleghany County			115,483	115,483	115,483	115,483	-	100.00%
Ashe County			189,566	189,566	189,566	189,566	-	100.00%
Avery County			89,600	89,600	89,600	89,600	-	100.00%
Buncombe County			600,000	600,000	600,000	600,000	-	100.00%
Caldwell County			118,538	119,182	118,538	119,377	(839)	100.71%
Cherokee County			75,000	75,000	75,000	75,000	-	100.00%
Clay County			15,000	15,000	15,000	15,000	-	100.00%
Graham County			6,000	6,000	6,000	6,000	-	100.00%
Haywood County			101,900	96,905	101,900	116,762	(14,862)	114.58%
Henderson County			528,612	528,612	528,612	528,612	-	100.00%
Jackson County			123,081	123,081	123,081	123,081	-	100.00%
Macon County			106,623	106,623	106,623	106,623	-	100.00%
Madison County			30,000	30,000	30,000	30,000	-	100.00%
McDowell County			67,856	67,856	67,856	67,856	-	100.00%
Mitchell County			18,000	18,000	18,000	18,000	-	100.00%
Polk County			76,991	77,956	76,991	78,314	(1,323)	101.72%
Rutherford County			102,168	102,168	102,168	102,168	-	100.00%
Swain County			25,000	30,326	25,000	25,799	(799)	103.20%
Transylvania County			99,261	99,261	99,261	99,261	-	100.00%
Watauga County			171,195	171,195	171,195	171,195	-	100.00%
Wilkes County			264,200	266,408	264,200	266,718	(2,518)	100.95%
Yancey County			26,000	26,000	26,000	26,000	-	100.00%
<b>Total County Funds</b>			<b>2,987,899</b>	<b>2,992,048</b>	<b>2,987,899</b>	<b>3,008,241</b>	<b>(20,342)</b>	<b>100.68%</b>
LME Systems Admin. Funds (Cost Model)							-	
DMH/DD/SAS Administrative Funds (% basis)			5,523,712	5,523,712	2,441,587	2,441,587	-	100.00%
DMH/DD/SAS Services Funding			59,705,405	56,855,754	64,720,453	62,251,247	2,469,206	96.18%
DMA Capitation Funding			288,861,359	299,180,424	304,657,131	307,890,885	(3,233,754)	101.06%
DMA Risk Reserve Funding			5,895,129	6,151,032	6,217,492	6,258,689	(41,197)	100.66%
All Other State/Federal Funds			55,000	42,132	101,000	81,176	19,824	80.37%
<b>Total State and Federal Funds</b>			<b>360,040,605</b>	<b>367,753,054</b>	<b>378,137,663</b>	<b>378,923,584</b>	<b>(785,921)</b>	<b>102.66%</b>
<b>TOTAL REVENUE</b>			<b>367,377,769</b>	<b>373,211,399</b>	<b>404,157,383</b>	<b>386,384,662</b>	<b>17,772,721</b>	<b>95.60%</b>
<b>EXPENDITURES:</b>								
System Management/Administration/Care Coordination			46,270,388	38,435,663	52,065,620	45,958,159	6,107,461	88.27%
LME Provided Services			3,020,475	1,852,870	3,242,644	2,808,021	434,623	86.60%
Provider Payments (State Funds)			303,953,896	298,030,575	336,199,047	324,069,847	12,129,200	96.39%
Provider Payments (Federal Funds)			7,473,207	6,521,559	7,853,268	6,676,205	1,177,063	85.01%
Provider Payments (County/Local)			3,075,899	3,022,585	3,235,764	3,016,429	219,335	93.22%
All Other			3,583,904	2,917,193	1,561,040	1,293,384	267,656	82.85%
<b>TOTAL EXPENDITURES</b>			<b>367,377,769</b>	<b>350,780,445</b>	<b>404,157,383</b>	<b>383,822,045</b>	<b>20,335,338</b>	<b>94.97%</b>
<b>Net Income (from Operations and Risk Reserve)</b>				<b>22,430,954</b>		<b>2,562,617</b>		
<b>Beginning Unrestricted/Unassigned Fund Balance</b>				<b>47,196,091</b>		<b>67,730,176</b>		
<b>Balance in Restricted DMA Risk Reserve</b>				<b>18,618,950</b>		<b>24,877,639</b>		
<b>Current Estimated Unrestricted/Unassigned Fund Balance and percent of budgeted expenditures</b>			<b>18.44%</b>	<b>67,730,176</b>	<b>3.42%</b>	<b>13,807,083</b>	<b>(See Note Below about FB)</b>	
<b>2. CURRENT CASH POSITION</b>								
<b>Current Cash in Bank (Including Risk Reserve)</b>				<b>118,823,386</b>				
<b>3. SERVICE EXCEPTIONS ( Provided Based on System Capability)</b>								
Services authorized but not billed (IBNR)				<b>15,165,180</b>				

4. DETAIL ON BUDGETED FUND BALANCE		Budgeted	Utilized to-Date	Year-Remaining Balance	% Utilized
Payments to Providers	Blue Ridge Comm Health/Other MOE	168,647	141,179	27,468	83.71%
Payments to Providers	Community ICF Rate Increase	664,236	664,236	-	100.00%
Payments to Providers	Single Stream Replacement Funding	10,902,915	10,902,915	-	100.00%
Payments to DMA	Risk Reserve Match Contribution	2,325,656	2,325,656	-	100.00%
Other Initiatives	C3@356 Urgent Care Funding	13,750	414	13,336	3.01%
Other Initiatives	Western Region Crisis Expansion	13,750	0	13,750	0.00%
Other Initiatives	Northern Region Crisis Expansion	13,750	0	13,750	0.00%
Other Initiatives	McDowell Co Comp Care Expansion	13,750	0	13,750	0.00%
Other Initiatives	FBC in Caldwell County	321,000	927	320,073	0.29%
Other Initiatives	Integrated Collab Care Svc Initiatives	1,113,428	590,417	523,011	53.03%
Other Initiatives	Admin Office - Relocation Fund	264,615	246,712	17,904	93.23%
Other Initiatives	Technology Enabled Care and Expo	514,057	351,104	162,953	68.30%
Other Initiatives	Youth Villages LifeSet Program	174,603	158,318	16,285	90.67%
Other Initiatives	Heroin Summit	3,778	3,778	-	100.00%
Other Initiatives	Naloxone Nasal Spray	100,000	100,050	(50)	100.05%
Other Initiatives	TCLI Legal Aid	48,984	48,984	-	100.00%
Other Initiatives	Replacement Bridge Funding	204,296	217,382	(13,086)	106.41%
Other Initiatives	Data Security Initiatives	249,185	217,819	31,366	87.41%
Other Initiatives	Medicaid Reform Readiness	157,407	168,564	(11,157)	107.09%
Other Initiatives	Community Engagement/Awareness	466,500	0	466,500	0.00%
Other Initiatives	Peer Run Recovery Centers	2,000	0	2,000	0.00%
<b>Total Fund Balance Appropriated/Utilized to Date</b>		<b>17,736,308</b>	<b>16,138,453</b>	<b>1,597,854</b>	<b>90.99%</b>

\* We certify (a) this report to contain accurate and complete information, (b) explanations are provided for any expenditure item with an annualized expenditure rate greater than 110% and for any revenue item with an annualized receipt rate of less than 90%, and (c) a copy of this report has been provided to each county manager in the catchment area".

LME / MCO Director \_\_\_\_\_ Date \_\_\_\_\_ LME/MCO Finance Officer \_\_\_\_\_ Date \_\_\_\_\_ Area Board Chair \_\_\_\_\_ Date \_\_\_\_\_

**Quarterly Fiscal Monitoring Report - Explanation of Revenue and Expenditure Variances**

**SMOKY MOUNTAIN LME/MCO**

For the period ending: June 30, 2016

**ITEM Explanation**

**Revenues Less than 90%**

Medicaid Pass Through Funds (83.91%) Medicaid Pass Through in FY 15-16 has not been as much as budgeted.  
Fund Balance Appropriated (0.00%) Fund Balance Appropriation is budgeted - but no actual will be recorded on the financial statements.  
Other Local Funds (79.95%) Some of the Local Funding Commitments relating to the c3@356 Project were budgeted but been received.

**Expenditures Exceeding 110%**

N/A - no expenditure Categories exceed 110%

**Other Notes**

This report does NOT reflect audited figures for FY 15-16. As of the time of this report - the books for FY 15-16 were still open. Amounts are subject to change.

County MOE funds are recorded on an accrual basis - therefore the amount shown in the report above may not reflect the actual amount of funds received from each county.

If County Funds of less than 100% of the Annual Budgeted amount have been received - the remaining has been accrued and is considered a receivable at 6/30/2016.

If County MOE funds in excess of 100% of the Annual Budgeted amount have been received - the excess has been deferred and not reflected as income as of 6/30/2016.

Please note that any ABC funds collected will be shown in the same line as MOE funds for that county. ABC Funds are recorded on a Cash Basis.

The reason that the Unrestricted/Unassigned Fund Balance as of June 30, 2016 is so much lower than as of June 30, 2015 is due to all of the different Commitments that the Board of Directors for Smoky Mountain LME/MCO have approved for FY 2015-2016. These amounts have been removed from the Unrestricted/Unassigned Fund Balance Section and are now being considered Board Committed Fund Balance.